

H1014

Attachment H

COVER SHEET (PAGE 1 of 2)

May 1998 CALFED ECOSYSTEM RESTORATION PROPOSAL SOLICITATION

Proposal Title: Return to the Source: The Upper Watersheds of the Bay-Delta  
 Applicant Name: Rural California Alliance  
 Mailing Address: 1020 12<sup>th</sup> Street, Suite 400, Sacramento, CA 95814  
 Telephone: (916) 447-4806  
 Fax: (916) 448-3154

Amount of funding requested: \$ 132,230.00 for 1.5 years

Indicate the Topic for which you are applying (check only one box). Note that this is an important decision: see page    of the Proposal Solicitation Package for more information.

- Fish Passage Assessment
- Floodplain and Habitat Restoration
- Fish Harvest
- Watershed Planning/Implementation
- Fish Screen Evaluations - Alternatives and Biological Priorities
- Fish Passage Improvements
- Gravel Restoration
- Species Life History Studies
- Education

Indicate the geographic area of your proposal (check only one box):

- Sacramento River Mainstem
- Delta
- Suisun Marsh and Bay
- San Joaquin River Mainstem
- Landscape (entire Bay-Delta watershed)
- Sacramento Tributary: \_\_\_\_\_
- East Side Delta Tributary: \_\_\_\_\_
- San Joaquin Tributary: \_\_\_\_\_
- Other: \_\_\_\_\_
- North Bay: \_\_\_\_\_

Indicate the primary species which the proposal addresses (check no more than two boxes): N/A

- San Joaquin and East-side Delta tributaries fall-run chinook salmon
- Winter-run chinook salmon
- Late-fall run chinook salmon
- Delta smelt
- Splittail
- Green sturgeon
- Migratory birds
- Spring-run chinook salmon
- Fall-run chinook salmon
- Longfin smelt
- Steelhead trout
- Striped bass



PSP May 1998

COVER SHEET (PAGE 2 of 2)

May 1998 CALFED ECOSYSTEM RESTORATION PROPOSAL SOLICITATION

Indicate the type of applicant (check only one box):

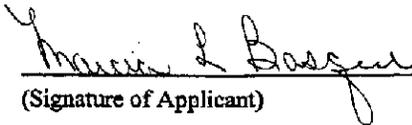
- |                                                          |                                                |
|----------------------------------------------------------|------------------------------------------------|
| <input type="checkbox"/> State agency                    | <input type="checkbox"/> Federal agency        |
| <input type="checkbox"/> Public/Non-profit joint venture | <input checked="" type="checkbox"/> Non-profit |
| <input type="checkbox"/> Local government/district       | <input type="checkbox"/> Private party         |
| <input type="checkbox"/> University                      | <input type="checkbox"/> Other: _____          |

Indicate the type of project (check only one box):

- |                                     |                                               |
|-------------------------------------|-----------------------------------------------|
| <input type="checkbox"/> Planning   | <input type="checkbox"/> Implementation       |
| <input type="checkbox"/> Monitoring | <input checked="" type="checkbox"/> Education |
| <input type="checkbox"/> Research   |                                               |

By signing below, the applicant declares the following:

- (1) the truthfulness of all representations in their proposal;
- (2) the individual signing the form is entitled to submit the application on behalf of the applicant (if applicant is an entity or organization); and
- (3) the person submitting the application has read and understood the conflict of interest and confidentiality discussion in the PSP (Section II.K) and waives any and all rights to privacy and confidentiality of the proposal on behalf of the applicant, to the extent as provided in the Section.

  
\_\_\_\_\_  
(Signature of Applicant)

**TABLE OF CONTENTS**

**Page**

<b>II. Executive Summary</b>	<b>1</b>
<b>III. Title Page</b>	<b>3</b>
<b>IV. Project Discussion</b>	<b>4</b>
<b>V. Costs and Schedule</b>	<b>13</b>
<b>VI. Applicant Qualifications</b>	<b>19</b>
<b>VII. Compliance with Terms and Conditions</b>	<b>22</b>
<b>Supporting Documents</b>	<b>23</b>
Attachment 1: Resumes	
Attachment 2: DI-2010 Form	

## **II. Executive Summary**

### **A. Project Title and Applicant Name**

Title: Return to the Source: The Upper Watersheds of the Bay Delta

Applicant: The Rural California Alliance (RCA)

### **B. Project Description and Educational Objectives**

The Return to the Source Project is a comprehensive effort designed to increase public knowledge and understanding of upper watersheds by those who live in those regions, and to encourage informed, deliberative, community-based decision making. This project will also reach out to those who are visitors to the upper watershed regions and those who are downstream beneficiaries of proper watershed management. This Project's premise is that the development of broad-based support of watershed management will enhance the ability of all interested parties to develop balanced, comprehensive and lasting solutions to problems affecting the Bay-Delta.

This project, which is consistent with the broad range of Ecosystem Restoration Program Plan (ERPP) objectives, will develop educational materials and programming describing the complexities of a healthy upper watershed and how properly managing these watersheds has the potential to improve overall ecosystem health and increase water yield. These materials will create not only an understanding of upper watersheds, but will also help reduce conflict and develop equitable solutions through an increased comprehension of the scientific, social, economic and political complexities of the issues.

### **C. Approach/Tasks/Schedule**

The Return to the Source Project will be coordinated by the Rural California Alliance (RCA), who will work with the Regional Council of Rural Counties (RCRC) to develop and implement this proposal. The Regional Council of Rural Counties has collaborated with numerous partners on environmental projects over the past twenty-five years. This educational project builds upon RCRC's Water Program, which has been involved in the CALFED Bay-Delta Program for the past three years as a representative of the "Source" or "Area of Origin" counties.

The Return to the Source Project will enhance RCRC's existing programs, including the Sierra Nevada Ecosystem Project Pilot Program, and will work with community leaders to identify and enhance existing environmental educational efforts. RCRC recognizes the diversity of water interests within 27 member counties and has brought together a myriad of disparate interests to deliver programs and services that no single county could achieve alone. This project will continue employing a collaborative model for education and bridging diverse areas of interest.

The components of this proposed project are:

- development of a speaker training seminar and outreach program
- development of brochures and handouts specific to upper watersheds and their relationship to the overall Bay-Delta ecosystem
- production of a video with support materials including references to other sources of information
- generation and maintenance of an upper watershed Internet home page which will contain a special section for youth education

#### **D. Justification for Project and Funding by CALFED**

Educational outreach in upper watershed communities related to understanding the concepts of ecosystem health, water supply reliability and water quality from local and statewide perspectives is needed for several reasons:

- To promote an understanding that the region where they live in is the source of over 80% of California's fresh water supplies and provides for immeasurable social, economic and cultural benefits to their communities.
- That a projected growth of 18 million new Californians by the year 2025 will have strong ramifications on our environment - we all need to protect and restore these resources.
- To build local capacity to plan and mitigate for potential negative impacts on existing infrastructure due to population growth in rural watersheds
- Existing local restoration, watershed and stewardship programs will have an increasing need for volunteerism and community support
- While several upper watershed projects have been funded as part of the CALFED program, there is an absence of water education programs that focus on this specific subject matter. There exists high quality educational materials which focus on agricultural, urban and environmental issues; this project seeks to close the loop with the development of materials which link existing information specific to the role of upper watersheds.

#### **E. Budget Costs and Third Party Impacts**

The RCA requests \$132,230 in CALFED funding for this environmental education project. We estimate that the RCRC and member counties will contribute approximately \$200,000 of in-kind services. We know of no third party impacts that will result from the proposed project.

#### **F. Applicant Qualifications**

The Rural California Alliance is a non-profit education, communication and information organization formed in 1986 to improve the condition of rural California. The RCRC is a multi-faceted organization that has been in existence for over 26 years serving the diverse needs of California's rural communities.

#### **G. Monitoring and Data Collection**

For this project, RCA will collect baseline data, monitor progress, and evaluate outcomes, both quantitative and qualitative. This monitoring will indicate progress towards project objectives and provide a return on the CALFED investment in increasing public awareness of the CALFED Bay-Delta program. RCA will contract for the use of RCRC staff to develop data collection and analysis methodologies.

#### **H. Local Support/Coordination with Other Programs/Compatibility with CALFED Objectives**

The current RCRC Water Program has significant support from local, state, and federal agencies and from the private sector. It is well coordinated with many local watershed projects and works with several environmental organizations and is completely compatible with CALFED objectives.

This proposal is consistent with CALFED objectives in that proper watershed management is an integral part of Bay-Delta solutions. This project will develop an increased awareness by the communities of the upper watersheds and their relation to the San Francisco Bay/ Sacramento-San Joaquin River Delta.

**Return to the Source: The Upper Watersheds of the Bay-Delta**

Rural California Alliance  
1020 12<sup>th</sup> Street, Suite 400  
Sacramento, California 95814

Telephone (916) 447-4806 Facsimile (916) 448-3154

Non-Profit Organization  
501 (c) (4)

Tax Identification Number 68-0096731

Principal Contact: Marcia Basque, Executive Director

This Proposal is Submitted by the Rural California Alliance in Cooperation  
with the Twenty-Seven Member Counties of the Regional Council of Rural  
Counties

**RFP Project Topic: Environmental Education**

#### IV. Project Description

##### a. Project Description and Approach

The Return to the Source: The Upper Watersheds of the Bay Delta Project is a comprehensive environmental education effort designed to increase public knowledge and understanding of upper watersheds. The primary objective of this project will be to work closely with communities in these regions, with a secondary concentration on other beneficiaries of healthy watersheds. This Project's premise is that the development of broad-based support and understanding of watershed management will enhance the ability of all interested parties to develop balanced, comprehensive and lasting solutions to problems affecting the Bay-Delta and its watersheds.

The Bay-Delta watersheds are a mixture of landscape types, including uplands, riparian areas, wetlands, groundwater, lakes, streams and rivers. In a healthy watershed, vegetation and wetlands intercept snow and rain that falls in the watershed, slowing the flow of water as it travels through the watershed, removing pollutants, allowing the water to percolate into the ground and recharge the aquifers and eventually merge into and replenish the diverse ecosystem of the Bay-Delta.

This project, which is consistent with the a broad range of Ecosystem Restoration Program Plan (ERPP) objectives, proposes to develop educational materials and programming on the complexities of a healthy upper watershed and how these watersheds have the potential to improve overall ecosystem health and increase water quality. These materials will serve to create not only an understanding of upper watersheds, but will help reduce conflict and develop equitable solutions through an increased comprehension of the scientific, social, economic and political complexities of the issues.

The Rural California Alliance (RCA) will work with the Regional Council of Rural Counties (RCRC) to develop and implement a five-part scope of work to accomplish its objectives. **Part I** establishes a working group consisting of diverse watershed interests whose task will be to develop educational program materials. **Part II** develops brochures and handouts specific to upper watersheds and their importance in the overall health of the Bay-Delta ecosystem. **Part III** creates a speakers' bureau by establishing an ongoing program providing community leaders with professional training to help them better present water issues in the community and broadening their personal knowledge about watersheds and the CALFED Bay-Delta Process.

**Part IV** involves the production of a 15-20 minute video packaged with a presenter's guide with links to other water programs and educational resources. **Part V** consists of developing of a home page or web site on the Internet to serve as a resource for anyone wishing to gather information regarding California's upper watersheds and the Bay-Delta.

The Project will use existing staff to take advantage of their extensive grant management expertise and technical capacity in conducting educational outreach efforts. RCA will

subcontract for services (video production, Internet pagemaking) which require particular expertise.

**b. Proposed Scope of Work**

**Part I** lays the foundation of the project through the creation of a work group made up of representatives from diverse watershed management, education, stewardship and restoration interest groups. The various components of the environmental education project (brochures, speakers material, video, Internet home page) will be developed and enhanced through this ongoing working relationship.

**Part II** develops brochures and handouts specific to upper watersheds and their importance in the overall health of the Bay-Delta ecosystem. These materials are not only to support the speakers' bureau, but also to be distributed through a number of watershed and county-based programs.

RCRC participates in a number of environmental collaboratives including the Biodiversity Council, the Timber-Schools Coalition, the Sierra Nevada Conservation Framework and other interested groups, such as the Sierra Nevada Alliance and the Planning and Conservation League. Due to the diverse nature of RCRC's programs, there are numerous venues available for the distribution of these materials. Local chambers of commerce and visitor/tourism bureaus could utilize the project's materials, as well.

The RCRC-Environmental Services JPA attends many of their memberships' county fairs to conduct public outreach related to their used oil recycling and other environmental programs. The proposed projects educational materials can be easily distributed to a diverse population by adding them to this successful person to person outreach framework.

**Part III** creates a speakers bureau by establishing an ongoing program providing community leaders with professional training to help them better present water issues in the community, while broadening their personal knowledge about watersheds and the CALFED Bay-Delta Process. Workshops will provide participants with an overview of structure and function of watersheds, sessions on innovative watershed project designs, field trips and specialty focus on unique aspects of the regions watersheds. Networking and emphasizing linkages and common bonds among government, local communities, universities, environmentalists and industry is a major objective of the workshops.

**Part IV** includes the production of a 20-minute video packaged with a presenter's guide with links to other water programs and educational resources.

Water quality leaders from the government, private, and non-profit sectors agree that watershed management is today's best approach for preserving tomorrow's precious water resources. This video explains what upper watershed management is, why its so important, and how everyone - from private citizens to public officials - can get involved through an increased understanding of our watersheds.

The video is a primer emphasizing the important role of citizens in protecting water quality and is designed to provide a basic understanding of upper watersheds, the ecosystem approach and the relation to the overall health of the Bay-Delta. The video will also accentuate the importance of water resources for California and how reliant our economy is on maintaining an adequate supply of this resource. The video will highlight our common bonds and needs and stress the need for all Californians to work together to find a common solution instead of a divisive one. To accomplish this, the video will create an awareness and understanding of the complex issues related to the Bay-Delta process and the role of upper watersheds. In addition, it will offer simple suggestions on how the citizen can become involved in local watershed restoration efforts.

Part V consists of developing a home page or web site on the Internet to serve as a resource for anyone wishing to gather information regarding California's upper watersheds and the Bay-Delta. It will contain lists of water user organizations, agencies, environmental groups and others dealing in the field of water resources and where available include links to other water perspectives. It will also contain hypertext links to other areas where information on river flows, reservoir levels or other time-sensitive water information is available

The home page will feature an enhanced section specializing on use by school-based environmental education programs. In addition, this site will contain California's only online watershed tour. Allowing those on the Internet to journey from the source headwaters all the way to the Golden Gate Bridge. Along the way they will learn: what is a watershed, the components of a watershed, why watersheds are important and how they are part of the larger Bay-Delta system.

<i>Task/ subtask</i>	<i>Work</i>	<i>Schedule (Months)</i>	<i>Task Budget</i>	<i>Subtask Budget</i>	<i>Task Deliverable</i>
<b>Part 1</b>	<b>Education Planning Project</b>		<b>\$4,620.00</b>		
1.1	Coordinate meetings with RCRC Water Committee and other interested parties.	1-3		\$1,400.00	Comprehensive educational project workplan with input from peer entities.
1.2	Develop integrated educational strategy and plan.	1-3		\$2,800.00	
1.3	Project Management	1-3		\$420.00	
<b>Part 2</b>	<b>Educational Materials</b>		<b>\$21,740.00</b>		
2.1	Develop brochure and handout.	1-3		\$5,600.00	Watershed education materials (brochures and flyers) stressing the importance of the upper watersheds to the overall health of the Bay-Delta Ecosystem - distributed through person-to-person outreach and county based programs.
2.2	Pre-print editing and formatting.	3		\$2,800.00	
2.3	Print 50,000 Watershed Education brochures.	3		\$9,000.00	
2.4	Print 20,000 Watershed handouts.	3		\$3,500.00	
2.5	Distribute brochure and handouts at ESJPA Outreach Events and through county based programs.	4-18		<i>IN KIND</i>	
2.6	Project Management	1-18		\$840.00	
<b>Part 3</b>	<b>Professional Speakers Kit</b>		<b>\$11,060.00</b>		
3.1	Develop and plan Speakers Training Seminars	1-4		\$4,200.00	Professional training workshops and creation of a watershed information-sharing speakers bureau resource for community leaders.
3.2	Conduct Speakers Training Seminars	4-18		\$5,880.00	
3.3	Coordinate and assist with Speakers Bureau Presentations.	6-18		<i>IN KIND</i>	
3.4	Project Management	1-18		\$980.00	
<b>Part 4</b>	<b>Educational Video Package</b>		<b>\$59,160.00</b>		
4.1	Develop presenter's guide and video content/subject matter.	1-3		\$11,200.00	A public educational video addressing the role of the watersheds and impacts to the larger Bay-Delta processes packaged with a presenters guide with links to other water programs and educational resources.
4.2	Develop and send Request for Proposals for video production.	2-3		\$2,240.00	
4.3	Review proposals and begin production.	2-3		\$1,680.00	
4.4	Video Production (directing, taping, editing, etc.)	4-6		\$40,000.00	
4.5	Print 100 presenter guides.	5-6		\$2,500.00	
4.6	Prepare and distribute video and presenters guide packets.	6-18		<i>IN KIND</i>	
4.7	Project Management	1-18		\$1,540.00	
<b>Part 5</b>	<b>Online Education</b>		<b>\$25,590.00</b>		
5.1	Develop information/subject matter.	1-5		\$3,920.00	Web site, or home page, providing detailed upper watershed and Bay-Delta educational resources, as well as enhanced online resources for schools.
5.2	Establish website/management.	6-18		\$14,600.00	
5.3	Updating and maintenance of site.	6-18		<i>IN KIND</i>	
5.4	Develop website component: layout, format, and coding.	6		\$3,500.00	
5.5	Implement changes based on user feedback.	6-18		\$1,400.00	
5.6	Project Management	1-18		\$2,170.00	

<i>Task/ subtask</i>	<i>Work</i>	<i>Schedule (Months)</i>	<i>Task Budget</i>	<i>Subtask Budget</i>	<i>Task Deliverable</i>
<b>Part 6</b>	<b>Monitoring and Evaluation</b>		<b>\$10,060.00</b>		Comprehensive monitoring and evaluation of project through surveying and statistical analysis of project components, with upgrades made to the Online Education from user feedback and data included in reporting requirements.
6.1	Develop questionnaires for attendees at Speakers Bureau Training.	1-4		\$1,050.00	
6.2	Develop questionnaire for end users of videos and educational handouts.	1-4		\$1,050.00	
6.3	Print 500 questionnaires	4		\$50.00	
6.4	Collate information from questionnaires	6-18		\$2,800.00	
6.5	Review and implement changes suggested by user feedback on webpage.	6-18		\$1,400.00	
6.6	Prepare final report	17-18		\$2,800.00	
6.7	Project Management	1-18		\$910.00	

**c. Location and Geographic Boundaries of the Project**

This project will deliver its educational program to the entire upper portion of the Bay-Delta watershed and will also reach out to beneficiaries and stakeholders statewide. The following map provides an overlay of RCRC member counties on the CALFED Bay-Delta Geographic scope of the project.



#### **d. Expected Benefits**

The Return to the Source Project addresses watershed management, which is an important component in each of the proposed Bay-Delta solutions. This educational program will increase public knowledge and awareness of the CALFED program, how watersheds play an integral role in California's water system, and encourage participation in conservation programs.

#### **e. Background and Ecological/Biological/Technical Justification**

The "vision" or goals delineated throughout the Ecosystem Restoration Program Plan (ERPP) are the objectives that should be achieved as part of the CALFED implementation. They include visions of ecosystem elements, ecological zone and visions for adaptive management. This educational proposal incorporates the relationship of ecological processes, habitats and species to enable people to better understand the complexities of the CALFED Bay-Delta Program (ERPP Vol. 1, Section 1- Ecological Process Visions, Table 1- page 8).

The Regional Council of Rural Counties and its 27 member counties play a unique role in the hydrological cycle of California. They are the counties of origin, and include individual watersheds and waterways that provide the State of California with over 80% of its surface water supply.

It is from RCRC counties that the waterways of California Bay-Delta originate. It is within these counties that the snow packs are laid down in winter, melt away in the spring, and yearly renew the life of the rivers. It is within these counties that the forests provide stability and cover to an otherwise fragile and transient soil mantle, which when remaining in place is a blessing, but when washed away can devastate the state's waterways. It is within these counties that millions of people come from throughout the world to recreate during all four seasons. It is within these counties that some of California's most precious ground water resources exist. It is these counties that are irrevocably linked to the great rivers of California and to the Bay-Delta, not by politics or by a process devised by man, but by the laws of nature.

As California and the nation continue to focus more attention on the condition of our waterways, there has been recognition of the need to address the entire ecosystem. RCRC finds many similarities between the Chesapeake Bay Restoration Project and the CALFED Bay-Delta Project. Last year's annual RCRC conference featured one of the Chesapeake Bay Program's major architects, W. Tayloe Murphy Jr., Chairman, Chesapeake Bay Commission, as keynote speaker. He stated very clearly that the success of their effort has hinged on their decision to reach beyond the bay and extend deep into the watersheds - to the source.

The Return to the Source Project will encourage consensus-based decision-making that will foster increased involvement and understanding of the Bay-Delta program, watershed restoration and other stewardship programs.

#### **f. Monitoring and Evaluation**

Monitoring of each portion of this proposal will take the shape of peer input during the building of the components. In addition to our extensive "in-house" repository of knowledge, we will garner input in via peer review from outside experts.

Evaluation of each of this proposal's components will be completed with an eye towards the utility of the information collected. That is, interpretation of the evaluation data should lead to ideas for improvement of the product or service. This will be done for each component as outlined below:

- ❖ The beneficiaries of our program will evaluate the handouts and educational video. For those presentations taking place within grant months 6-18, participants will be asked to fill out surveys evaluating the content and presentation of the handout and video. Results of these surveys will be collated and made available for review throughout the program and utilized for program improvement and as an outreach measurement.
- ❖ The speakers' bureau will be evaluated during the training program utilizing pre-test; post-test methodology. Participants will be given a 10-15 question "quiz" to determine their level of knowledge about the subject. At the end of the training, participants will be re-tested. To assess long-term gains in knowledge, willing training participants will be mailed the same test after 3 months of presentations. The results of all three tests will be compared to assess the immediate and long-term effectiveness of the training.
- ❖ Evaluation of the web page will be based on number of hits and number of outside pages linking to our page. Baseline information will be collected during the first six months of operation and then compared to information collected during months 11 through 17. Feedback will be solicited from visitors to the page asking for suggestions to improve the page.

#### **g. Implementability**

In a statewide poll, Californians were recently asked a series of water-related questions. One question asked was how critical a problem is California's long-term water supply? 76% responded that it was a very *serious* problem.

The Return to the Source Project is a unique multi-county effort to be accomplished with the collaboration of nearly all the counties that encompass Bay-Delta upper watershed. In developing educational materials and programming on the complexities of a healthy upper watershed. To address the serious concerns that Californians have regarding preserving a long-term water supply, of which the management of the Bay-Delta is the key component, requires elevating the knowledge of the public and community leaders about the important role that upper watersheds play in the process.

The members of RCRC, California's rural counties, have shown a willingness to come together and through economies of scale, accomplish what they can not achieve on their own. This project is another step by RCA and RCRC to support and enhance local watershed management, resource stewardship and restoration programs that are taking place in the rural counties.

## **VI. Budget Costs**

### **a. Budget Costs**

The RCA Return to the Source Project requests \$132,230 in CALFED funding for this educational project. We estimate that RCRC and their twenty-seven member counties will contribute \$200,000 of in-kind services.

The attached budget covers all project expenses, including project staff and consultants, speakers training workshops and materials, brochures and handouts, video production and distribution and Internet home page construction and maintenance. The project period is for 18 months. The tasks associated with this project will be accomplished by in-house staff, with consultant expertise called upon for video production and speakers training. Professional staff time will be billed at \$70.00 per hour, which includes all related administration overhead.

The Return to the Source Project will provide an understanding of upper watershed management that will enhance the ability of all interested parties to develop balanced, comprehensive and lasting solutions to problems effecting the Bay-Delta.

If full funding is not available for this project then Parts I, II, III, and IV could be accomplished for \$100,000.

### Budget Costs Table

Task Activities	Hours	Rate	Labor Costs	Direct Costs	TOTAL
-----------------	-------	------	-------------	--------------	-------

Part I: Education Project Planning					
1) Coordinate meetings with RCRC Water Committee and other interested	20	\$70.00	\$1,400.00	\$0.00	\$1,400.00
2) Develop integrated educational strategy and plan.	40	\$70.00	\$2,800.00	\$0.00	\$2,800.00
3) Project Management	6	\$70.00	\$420.00	\$0.00	\$420.00
<b>Task Total</b>	<b>66</b>		<b>\$4,620.00</b>	<b>\$0.00</b>	<b>\$4,620.00</b>

Part II: Educational Materials					
1) Develop brochure and handout	80	\$70.00	\$5,600.00	\$0.00	\$5,600.00
2) Pre-print editing and formatting.	40	\$70.00	\$2,800.00	\$0.00	\$2,800.00
3) Print 50,000 Watershed Education brochures.				\$9,000.00	\$9,000.00
4) Print 20,000 Watershed handouts.				\$3,500.00	\$3,500.00
5) Distribute brochure and handouts at ESJPA Outreach Events and through county based programs.			<i>IN KIND</i>		\$0.00
6) Project Management	12	\$70.00	\$840.00	\$0.00	\$840.00
<b>Task Total</b>	<b>132</b>		<b>\$9,240.00</b>	<b>\$12,500.00</b>	<b>\$21,740.00</b>

Part III: Professional Speakers Kit					
1) Develop and plan Speakers Training Seminars	60	\$70.00	\$4,200.00	\$0.00	\$4,200.00
2) Conduct Speakers Training Seminars	84	\$70.00	\$5,880.00	\$0.00	\$5,880.00
3) Coordinate and assist with Speakers Bureau Presentations			<i>IN KIND</i>		\$0.00
4) Project Management	14	\$70.00	\$980.00	\$0.00	\$980.00
<b>Task Total</b>	<b>158</b>		<b>\$11,060.00</b>	<b>\$0.00</b>	<b>\$11,060.00</b>

Part IV: Educational Video Package					
1) Develop presenter's guide and video content/ subject matter.	160	\$70.00	\$11,200.00	\$0.00	\$11,200.00
2) Develop and send Request for Proposals for video production.	32	\$70.00	\$2,240.00	\$0.00	\$2,240.00
3) Review proposals and begin production.	24	\$70.00	\$1,680.00	\$0.00	\$1,680.00
4) Video Production (directing, taping, editing, etc.)				\$40,000.00	\$40,000.00
5) Print 100 presenter guides.				\$2,500.00	\$2,500.00
6) Prepare and distribute video and presenters guide packets.			<i>IN KIND</i>		\$0.00
7) Project Management	22	\$70.00	\$1,540.00	\$0.00	\$1,540.00
<b>Task Total</b>	<b>238</b>		<b>\$16,660.00</b>	<b>\$42,500.00</b>	<b>\$59,160.00</b>

## Budget Costs Table

<b>Part V: Online Education</b>					
1) Develop information/subject	56	\$70.00	\$3,920.00	\$0.00	\$3,920.00
2) Establish website/management.	180	\$70.00	\$12,600.00	\$2,000.00	\$14,600.00
3) Updating and maintenance of site.	<i>IN-KIND</i>				\$0.00
4) Develop website component: layout, format, and coding.	50	\$70.00	\$3,500.00	\$0.00	\$3,500.00
5) Implement changes based on user feedback.	20	\$70.00	\$1,400.00	\$0.00	\$1,400.00
6) Project Management	31	\$70.00	\$2,170.00	\$0.00	\$2,170.00
<b>Task Total</b>	<b>337</b>		<b>\$23,590.00</b>	<b>\$2,000.00</b>	<b>\$25,590.00</b>

<b>Monitoring and Evaluation</b>					
1) Develop questionnaires for attendees at Speakers Bureau	15	\$70.00	\$1,050.00	\$0.00	\$1,050.00
2) Develop questionnaire for end users of videos and educational	15	\$70.00	\$1,050.00	\$0.00	\$1,050.00
3) Print 500 questionnaires	0	\$70.00	\$0.00	\$50.00	\$50.00
4) Collate information from questionnaires	40	\$70.00	\$2,800.00	\$0.00	\$2,800.00
5) Review and implement changes suggested by user feedback on	20	\$70.00	\$1,400.00	\$0.00	\$1,400.00
6) Prepare final report	40	\$70.00	\$2,800.00	\$0.00	\$2,800.00
7) Project Management	13	\$70.00	\$910.00	\$0.00	\$910.00
<b>Monitoring and Evaluation Total</b>	<b>143</b>		<b>\$10,010.00</b>	<b>\$50.00</b>	<b>\$10,060.00</b>

**PROJECT TOTAL**

**\$132,230.00**

**b. Schedule Milestones**

The Return to the Source Project current schedule calls for project planning and materials to be developed by the end of the sixth month. Outreach and presentations are slated to start at the beginning of the seventh month and will extend until the end of the project. The speakers' bureau will be maintained after grant funding is over through the Regional Council of Rural Counties, foundation and county resources. The work plan detailed in the following *Milestones/Schedule Table* outlines the steps to achieve each objective.

## Milestones/Schedule Table

<i>Task Activities</i>	<i>Months</i>
<b>Part I: Education Project Planning</b>	
1) Coordinate meetings with RCRC Water Committee and other interested parties.	1-4
2) Develop integrated educational strategy and plan.	1-4
<b>Part II: Educational Materials</b>	
1) Develop brochure and handout materials.	1-4
2) Pre-print editing and formatting.	4
3) Print 50,000 Watershed Education brochures.	4
4) Print 20,000 Watershed handouts.	4
5) Distribute brochure and handouts at ESJPA Outreach Events and through county based programs.	4-18
<b>Part III: Professional Speakers Kit</b>	
1) Develop and plan Speakers Training Seminars	1-4
2) Conduct Speakers Training Seminars	4-18
3) Coordinate and Assist with Speakers Bureau Presentations	6-18
<b>Part IV: Educational Video Package</b>	
1) Develop presenter's guide and video content/ subject matter.	1-3
2) Develop and send Request for Proposals for video production.	2-3
3) Review proposals and begin production.	2-3
4) Video Production (directing, taping, editing, etc.)	4-6
5) Print 100 presenter guides.	5-6
6) Prepare and distribute video and presenters guide packets.	6-18
<b>Part V: Online Education</b>	
1) Develop information/subject matter.	1-5
2) Establish website/ system management.	6-18
3) Updating and maintenance of site.	6-18
4) Develop website component: layout, format, and coding.	6
5) Implement changes based on user feedback.	6-18
<b>Monitoring and Evaluation</b>	
1) Develop questionnaires for attendees at Speakers Bureau Training.	1-4
2) Develop questionnaire for end users of videos and educational handouts.	1-4
3) Print 500 questionnaires	4
4) Collate information from questionnaires	6-18
5) Review and implement changes suggested by user feedback on webpage.	6-18
6) Prepare final report	17-18

*Note: Quarterly Reporting will be completed per requirement and Final Report upon project completion.*

**c. Third Party Impacts**

Because of the stress we will place on working together in finding common solutions to California's water problems all third party impacts will be positive.

## VI. Applicant Qualifications

This project will accomplish cost efficiencies by organizing and implementing the resources of RCRC and its twenty-seven member counties. RCRC has developed an effective process of managing grant programs in an efficient and cost-effective manner.

The management of RCRC directs staff to undertake the work, with the assistance of specific technical consultants, and oversees its progress. The staff is responsible not only to management, but to the delegates from our member counties who play an active role in all of our programs. This process for managing tasks has worked well in the management of over \$2 million in grant projects, and we propose to continue using it for tasks funded by CALFED.

The staff resources at RCRC constitute a comprehensiveness of rural, policy, public outreach and program expertise that provide a unique blend of talent and experience. Within RCRC, Ms. Marcia Basque oversees the work of and coordinates the schedules of her staff: Mr. John Brooks, Mr. Anthony Farrington and Ms. Valerie Justice, Mr. Cary Bertoncini, and Mr. Andy Griffin.

**Ms. Marcia L. Basque**, since 1976, has been the Executive Director for the Regional Council of Rural Counties (RCRC), an organization of twenty-seven rural counties. She has served since 1986 as Executive Director for the Rural California Alliance, a non-profit organization. She served over 14 years in supervisory professional positions on the staffs of the Assembly and the Senate. In her capacity as Executive Director, she is responsible for all facets of management, from finance to strategic planning for RCRC, and she has taken an active role in reshaping a variety of local-state intergovernmental policies and regulations that impact the small rural counties.

She has an extensive background in effective organizational management and possesses a wide breadth of knowledge in governmental liaison services. Her highly developed interpersonal skills have given her a unique insight into the methods of government agencies at all levels used to interpret an executive public policy. She has established a respected presence with and access to legislators and staff, County Supervisors, key executive personnel throughout all State and Local Agencies, various Boards and Commissions, allied trade associations, and the financial business community, including underwriters and bond counsel.

**Mr. Anthony Farrington**, since 1997 has served as the Special Programs Coordinator for the Regional Council of Rural Counties. In this capacity he has been the lead staff representative for RCRC on all water related issues, including staff to the RCRC Water Committee. Under his stewardship, the RCRC water program has initiated several innovative efforts to assist rural counties in addressing a broad range of water issues. He is on sabbatical until September of 1998 at which time he will be lead staff on this project. Mr. Farrington holds a degree in International Relations from the University of California, Davis.

Mr. Farrington has directed RCRC's (4) pilot projects for the Sierra Nevada Ecosystem Project (SNEP), which receives funds from the California Department of Forestry and Fire Protection. He has also coordinated RCRC's Ground Water Management Program. This program assists counties by enhancing ground water resources planning programs at the County level, in a comprehensive, cooperative manner, consistent with the Ground Water Planning Act (AB3030) of 1992.

Mr. Farrington has developed a strong understanding and technical capacity regarding the diverse aspects of rural water policy. His strengths lie with his direct contacts and understanding of individual watershed efforts and those directing locally-based programs as well as the global water perspective.

**Ms. Valerie Justice**, RCRC Water Program Facilitator/Coordinator, is staff responsible to the RCRC Water Committee for the coordination of the RCRC Water efforts. Since 1998, she has coordinated the organizations comment process related to State Water Board and CALFED proposals. Ms. Justice has a B.A. in Government from California State University, Sacramento, with an emphasis in Local Government

**John Brooks**, ESJPA Program Director since 1996, brings his knowledge and expertise from his experience as Associate Waste Management Specialist with the CIWMB Office of Local Assistance. With the ESJPA he works closely with member counties, providing used oil, HHW, and recycling technical support and regional program planning and coordination - including planning document consulting, compliance assistance, and regional grant proposal development. His solid waste and recycling acumen has been acknowledged with an appointment by Governor Wilson in 1997 to the State of California Local Government Technical Advisory Committee (LGTAC). Mr. Brooks leads highly qualified staff, maintains working relationships with the California Integrated Waste Management Board, State Water Resources Control Board and Federal and State Environmental Protection Agencies on recycling and solid waste disposal issues; works with legislative advocate and key solid waste interest groups to ensure that rural solid waste needs and concerns are addressed; oversees over \$2,000,000 in grant programs; and is responsible for the financial support of ESJPA programs for member counties. Mr. Brooks has a B.S. degree in Natural Resources Planning and Interpretation from California State University, Humbolt.

**Cary Bertoncini**, ESJPA Senior Outreach Coordinator, has a technical background in Pollution Investigation with the U.S. Coast Guard, and experience as a professional educator and Fulbright Scholar. As a senior ESJPA outreach staff, Mr. Bertoncini has achieved positive public relations for county programs among people of diverse backgrounds and sometimes rigidly oppositional viewpoints. He is responsible for coordinating public outreach and education, including coordinating grant activities with staff, county staff, partner oversight and interested agencies; negotiating contracts and purchases for program infrastructure, maintenance, advertising and promotions; developing and sharing expertise with member jurisdictions in used oil recycling technologies, regulations and facts; writing press releases, articles and ad copy; and

conducts media interviews to promote programs. Mr. Bertocini has Cum Laude B.A. degrees in Asian Studies and English from California State University, Sacramento.

**Andrew Griffin**, ESJPA Program Evaluator, has a professional and academic background in psychology and program analysis. He is responsible for comprehensive, ongoing ESJPA program monitoring and evaluation. Mr. Griffin is proficient in the methodology of data collection and analysis, as well as in the use of various statistical analysis software, and will be charged with conducting all analysis, monitoring and evaluations for the proposed project. Mr. Griffin has a B.A. with Honors in Psychology from the University of California, Santa Cruz.

(See *Attachment 1: Resumes* for more information.)

**VI. Compliance with standard terms and conditions**

As per the *Environmental Education* solicitation topic requirements, the only form required upon submission of this proposal is the attached, signed U.S. Department of the Interior **FORM DI-2010** (Certifications Regarding Debarment, Suspension and Other Responsibility Matters, Drug-Free Workplace Requirements and Lobbying)

All other appropriate federal forms, terms, conditions, and assurances that apply to RCA will be completed upon grant award. RCA finds agreeable all terms, conditions, and assurances.

(See Supporting Documents section *Attachment 2: DI-2010 Form.*)

**Supporting Documents**

**Attachment 1: Resumes**

**ANTHONY W. FARRINGTON**  
*Regional Council of Rural Counties (RCRC)*  
1020 12<sup>th</sup> Street, Suite 400  
Sacramento, CA 95814  
(916) 447-4806 fax(916) 448-3154

**EXPERIENCE**

September 1996 to  
Present

**SPECIAL PROGRAMS COORDINATOR**

**Regional Council of Rural Counties (RCRC)**

Lead staff representative for twenty-seven rural counties on all water related issues, including staff to the RCRC Water Committee. Responsible for the planning and implementation of four county pilot projects that utilize select findings from the Sierra Nevada Ecosystem Project (SNEP) Report. Program Coordinator for RCRC Groundwater Management Program. This program assists member counties in the enhancement and management of groundwater resources at the local level.

May 1996 to  
September 1996

**SERVICE CORPORATION REPRESENTATIVE**

**California Manufacturer's Association**

Employed by the California Manufacturers Association Service Corporation (CMASC), performing a variety of duties ranging from project development, membership sales and marketing.

May 1995 to  
September 1995

**NATIONAL STEERING COMMITTEE COORDINATOR**

**Pete Wilson for President Campaign**

Administered the organization of Governor Pete Wilson's National Steering Committee, recruiting distinguished members throughout the United States.

**INTERNSHIPS**

August 1996

**THE REPUBLICAN NATIONAL COMMITTEE**

Served the Committee during the 1996 Republican National Convention in San Diego.

June 1993 to

**SENATOR DAVID A. ROBERTI, FORMER**

**PRESIDENT OF THE CALIFORNIA STATE SENATE**

Served Senator Roberti by performing press and constituent relations, legislative analysis, legislative monitoring and the drafting of press releases.

**EDUCATION**

**MASTER'S DEGREE IN BUSINESS ADMINISTRATION (MBA)**

California State University at Sacramento, Candidate for graduation, Fall, 2000.

**BACHELOR'S DEGREE IN INTERNATIONAL RELATIONS**

University of California, Davis, 1994.

**ASSOCIATE OF ARTS DEGREE IN LIBERAL STUDIES**

Mendocino Junior College

VALERIE JUSTICE  
*Regional Council of Rural Counties*  
1020 12<sup>th</sup> Street, Suite 400  
Sacramento, CA 95814  
(916) 447-4806 Fax (916) 448-3154

---

**Water Facilitator/Coordinator** for Regional Council of Rural Counties (RCRC). Responsible to the Executive Director, and the Water Committee of the Board of Directors for the coordination of all water efforts on behalf of member counties. Responsible originally for the formation of the Water Committee, Committee staff, legislation coordination, responsible for meetings, agendas, etc.: January 1996- 1997; Water Facilitator/Coordinator: February 1998- present

**Office Manager/Assistant to the Director** for Regional Council of Rural Counties (RCRC). Responsible to the Executive Director and the Board of Directors. Management, Supervision, Coordination. Communication with State Officials, Legislators and staff; County Supervisors and staff; General Public, Vendors and Consultants. Research and tasks as directed meeting the needs of the 27 member counties. January 1995-present.

**Other Experience**

*Underwriter: single family residences; small investment property*

*Project Coordinator: responsible to national home builder for lending within 6 subdivision*

*Insuring Manager: FHA/VA*

*Branch Manager: Branch Offices of Savings and Loans*

**ACHIEVEMENTS**

- *Developed effective team to handle major project resulting in \$3 million dollar asset gain.*
- *Created business development and marketing plan identifying potential clientele and doubling savings dollars on deposit of a Savings and Loan Branch*
- *Negotiated contracts for community space, which included use permits, public hearings, and space management.*
- *Supervised the addition of a new production office implementing new company policies.*
- *Lead team negotiator in management restructuring of national company.*
- *Management, Supervision and Coordination of office staff of 24*
- *Organize, Develop, Manage and Supervise Annual Conference involving 3 Associations, 32 Counties, Sponsors, Forums, Meals, Entertainment, Lodging.*

**EDUCATION**

Bachelor of Arts, Government, emphasis in Local Government –  
California State University, Sacramento, California 1980

**John S. Brooks**  
*Regional Council of Rural Counties*  
1020 12th Street, Suite 400  
Sacramento, CA 95814  
(916) 447-4806 Fax 448-3154

**Program Director - Regional Council of Rural Counties (RCRC) Environmental Services Joint Powers Authority (ESJPA) January 1, 1996 -- Present.**

Current projects include the implementation of a new used oil grant on behalf of 12 counties, a cooperative marketing pilot program, and the development and oversight of a permanent household hazardous waste facility providing service to fifteen rural counties.

**Associate Waste Management Specialist August 1990 to December 1995 - Office of Local Assistance California Integrated Waste Management Board.**

**Major Projects:**

- Project manager for cooperative marketing feasibility study. Initiated and guided development of an analysis of rural jurisdictions' potential for benefiting from joint collection, processing and marketing of recycled materials.
- Conceived and guided development of *The Rural Cookbook: Recipes for Successful Waste Prevention & Diversion Programs* in response to Assembly Bill 2494. The Cookbook (approximately 150 pages) is a compilation of successful waste diversion programs specific to the special needs of rural jurisdictions. It includes a California specific creative financing options section.

**Appointments**

- Appointed by Governor Wilson in 1997 to the Local Government Technical Advisory Committee
- Appointed to California Rural Development Council

**Associations & Memberships**

- American Society for Public Administration (ASPA)
- California Resource Recovery Association (CRRA)
- Municipal Management Assistants of Northern California (MMANC)
- Solid Waste Association of North America (SWANA)
- National Oil Recycling Association (NORA)

**Awards**

- Customer Service Award presented by the Secretary for Environmental Protection (Cal/EPA) and the Board Executive Director.
- Certificate of Appreciation from the Executive Director for Outstanding Achievement in the development of *The Rural Cookbook*.
- Received award for special assistance to rural counties at 1994 RCRC Legislative Appreciation Banquet (the only non-legislative state employee recognized).

**Education**

- M.P.A., University of Southern California - 2000
- B.S., Humboldt State University 1990  
Major: Natural Resources Planning & Communications
- Certified Economic Development Finance Professional, National Development Council
- Total Quality Management (TQM) training.

07/02/98  
8:11 AM

## **Cary Bertoncini**

*Regional Council of Rural Counties  
1020 12th Street, Suite 400  
Sacramento, CA 95814*

(916) 447-4806

---

### **EXPERIENCE**

#### **Senior Outreach Coordinator - August 7, 1997 to Present**

Regional Council of Rural Counties, Environmental Services JPA.  
Conduct person to person educational outreach in member counties participating in a regional used oil and HHW recycling program. Extensive travel and contact with people in remote rural counties. Achieving positive public relations for County programs among people of diverse backgrounds and sometimes rigidly oppositional viewpoints. Coordinate grant activities with staff, county staff, partner oversight and interested agencies. Negotiate contracts and purchases for program infrastructure, maintenance, advertising and promotions. Develop and share expertise with member jurisdictions in used oil recycling technologies, regulations and facts. Write press releases, articles and ad copy and conduct media interviews when necessary to promote programs.

#### **English Teacher — October 1995 to June 1996**

Sacramento City Unified School District  
Taught eleventh grade college preparatory and Limited English Proficiency classes full-time as an emergency replacement. Also taught in a multi-disciplinary program designed to prepare students for college who would not traditionally be in college preparatory courses and served as a campus coordinator for this program.

#### **Fulbright Scholar — August 1994 to June 1995**

Foundation for Scholarly Exchange, Taiwan, ROC  
Designed and implemented English classes and English as a Second Language Teaching Methodology classes for 160 junior high school English teachers in rural Taiwan. Established the Ilan County English Teachers Association, the Ilan County English Teachers Library and Resource Center, and the first annual Ilan County English Teachers Colloquium and Book Fair. Also began research into the languages and cultures of Taiwan's indigenous people.

#### **Intern, Center for Pacific Asian Studies — February 1992 to August 1994**

California State University, Sacramento  
Was primary contributing editor for first five issues of *Asian Studies*. Assisted professor in reading upper division literature course essays.

Other experiences include:

Pollution Investigator, U.S. Coast Guard Reserve, 1985-1989

Whitewater rafting guide, 1984-1994

Resident Firefighter, South Placer FD, 1985

English Teacher, Taiwan-ROC, 1988-1990

Director of Research (video documentaries), DD Productions, 1990-1994

English Tutor, Sacramento City College, 1991-1992

---

### **EDUCATION**

**40 Hour Hazardous Materials training**

**8 Hour Hazardous Materials Supervisor training**

**B.A., Cum Laude, English and Asian Studies, CSU Sacramento, 1994**

**A.A., Honors, Languages and Literature, Sacramento City College, 1991**

ANDREW LAWRENCE GRIFFIN  
2719 F Street, Apt. C  
Sacramento, CA 95816-3751  
(916) 442-8853

## EDUCATION

### University of California at Santa Cruz

Bachelor of Arts in Psychology,  
Course work included: General research design and implementation,  
Research methodology for social sciences and statistical evaluation.  
Graduated with Honors in the Major

### City College of San Francisco

General Education

### Sacramento City College

General Education  
Academic Honors

### California State University, Sacramento

Advanced course work in Social Science research  
methodology, Statistical analysis and evaluation.

## EMPLOYMENT HISTORY

### Environmental Services Joint Powers Authority

*2010 12th Street, Suite 401 Sacramento, CA 95814*  
Program Evaluator: Responsible for overall design and implementation of  
evaluation efforts with regard to used-oil opportunity grants and  
management of contract with outside research agencies.

### Eskaton Fruitridge Transitional Home

*4256 Fruitridge Road, Sacramento, CA 95820*  
Administrator; Supervision of staff, hiring, management  
of program, development and implementation of  
outcome studies, compliance with regulations, admissions.

### Eskaton American River Manor

*4741 Engle Road, Carmichael, CA 95608*  
Program Team Leader. Supervision of shift staff,  
evaluation of various program efficacy indicators,  
schedule of daily groups and activities, crisis intervention.

**Supporting Documents**

**Attachment 2: DI-2010 FORM**

## U.S. Department of the Interior

**Certifications Regarding Debarment, Suspension and  
Other Responsibility Matters, Drug-Free Workplace  
Requirements and Lobbying**

Persons signing this form should refer to the regulations referenced below for complete instructions:

**Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions - The prospective primary participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction,"** provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. See below for language to be used or use this form for certification and sign. (See Appendix A of Subpart D of 43 CFR Part 12.)

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions - (See Appendix B of Subpart D of 43 CFR Part 12.)**

**Certification Regarding Drug-Free Workplace Requirements - Alternate I. (Grantees Other Than Individuals) and Alternate II. (Grantees Who are Individuals) - (See Appendix C of Subpart D of 43 CFR Part 12)**

Signature on this form provides for compliance with certification requirements under 43 CFR Parts 12 and 18. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of the Interior determines to award the covered transaction, grant, cooperative agreement or loan.

**\* Unable to ascertain through Proposal Solicitation Package or contact with CALFED staff whether this is a Primary or Lower Tier Transaction.**

\* **PART A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions**

**CHECK  IF THIS CERTIFICATION IS FOR A PRIMARY COVERED TRANSACTION AND IS APPLICABLE**

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
  - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
  - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\* **PART B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions**

**CHECK  IF THIS CERTIFICATION IS FOR A LOWER TIER COVERED TRANSACTION AND IS APPLICABLE**

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

01-2010  
June 1996  
(This form replaces 01-1963, 01-1964,  
01-1965, 01-1966 and 01-1967)

**PART C: Certification Regarding Drug-Free Workplace Requirements**

**IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS NOT AN INDIVIDUAL.**

**Alternate I. (Grantees Other Than Individuals)**

A. The grantee certifies that it will or continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about--
  - (1) The dangers of drug abuse in the workplace;
  - (2) The grantee's policy of maintaining a drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
  - (1) Abide by the terms of the statement; and
  - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification numbers(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted --
  - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a) (b), (c), (d), (e) and (f).

B. The grantee may insert in the space provided below the sites for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

1020 12<sup>th</sup> Street, Suite 400, Sacramento, CA 95814

Check  if there are workplaces on file that are not identified here.

**PART D: Certification Regarding Drug-Free Workplace Requirements**

**IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS AN INDIVIDUAL.**

**Alternate II. (Grantees Who Are Individuals)**

- (a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to the grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

DI-2010  
June 1988  
(This form replaces DI-1985, DI-1984,  
DI-1986, DI-1988 and DI-1992)

**PART E: Certification Regarding Lobbying  
Certification for Contracts, Grants, Loans, and Cooperative Agreements**

**CHECK  IF CERTIFICATION IS FOR THE AWARD OF ANY OF THE FOLLOWING AND  
THE AMOUNT EXCEEDS \$100,000: A FEDERAL GRANT OR COOPERATIVE AGREEMENT;  
SUBCONTRACT, OR SUBGRANT UNDER THE GRANT OR COOPERATIVE AGREEMENT.**

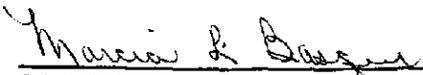
**CHECK  IF CERTIFICATION IS FOR THE AWARD OF A FEDERAL  
LOAN EXCEEDING THE AMOUNT OF \$150,000, OR A SUBGRANT OR  
SUBCONTRACT EXCEEDING \$100,000, UNDER THE LOAN.**

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

As the authorized certifying official, I hereby certify that the above specified certifications are true.

  
SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

Marcia Basque, Executive Director, Rural California Alliance

TYPED NAME AND TITLE

DATE July 2, 1998