

H 1012

Attachment H

COVER SHEET (PAGE 1 of 2)

May 1998 CALFED ECOSYSTEM RESTORATION PROPOSAL SOLICITATION

Proposal Title: Environmental Stewardship Center on the American River at Cal Expo: A Scoping Study

Applicant Name: California Exposition & State Fair

Mailing Address: 1600 Exposition Blvd., Sacramento, CA 95815

Telephone: (916) 263-3075

Fax: (916) 263-3304

Amount of funding requested: \$ 60,000 for 1.5 years

Indicate the Topic for which you are applying (check only one box). Note that this is an important decision: see page ___ of the Proposal Solicitation Package for more information.

- Fish Passage Assessment
- Floodplain and Habitat Restoration
- Fish Harvest
- Watershed Planning/Implementation
- Fish Screen Evaluations - Alternatives and Biological Priorities
- Fish Passage Improvements
- Gravel Restoration
- Species Life History Studies
- Education

Indicate the geographic area of your proposal (check only one box):

- Sacramento River Mainstem
- Delta
- Suisun Marsh and Bay
- San Joaquin River Mainstem
- Landscape (entire Bay-Delta watershed)
- Sacramento Tributary: American River
- East Side Delta Tributary: _____
- San Joaquin Tributary: _____
- Other: _____
- North Bay: _____

Indicate the primary species which the proposal addresses (check no more than two boxes):

- San Joaquin and East-side Delta tributaries fall-run chinook salmon
- Winter-run chinook salmon
- Late-fall run chinook salmon
- Delta smelt
- Splittail
- Green sturgeon
- Migratory birds
- Spring-run chinook salmon
- Fall-run chinook salmon
- Longfin smelt
- Steelhead trout
- Striped bass

COVER SHEET (PAGE 2 of 2)

May 1998 CALFED ECOSYSTEM RESTORATION PROPOSAL SOLICITATION

Indicate the type of applicant (check only one box):

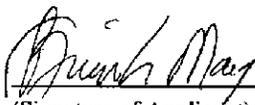
- | | |
|--|---|
| <input checked="" type="checkbox"/> State agency | <input type="checkbox"/> Federal agency |
| <input type="checkbox"/> Public/Non-profit joint venture | <input type="checkbox"/> Non-profit |
| <input type="checkbox"/> Local government/district | <input type="checkbox"/> Private party |
| <input type="checkbox"/> University | <input type="checkbox"/> Other: _____ |

Indicate the type of project (check only one box):

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> Planning | <input type="checkbox"/> Implementation |
| <input type="checkbox"/> Monitoring | <input checked="" type="checkbox"/> Education |
| <input type="checkbox"/> Research | |

By signing below, the applicant declares the following:

- (1) the truthfulness of all representations in their proposal;
- (2) the individual signing the form is entitled to submit the application on behalf of the applicant (if applicant is an entity or organization); and
- (3) the person submitting the application has read and understood the conflict of interest and confidentiality discussion in the PSP (Section II.K) and waives any and all rights to privacy and confidentiality of the proposal on behalf of the applicant, to the extent as provided in the Section.



(Signature of Applicant)

EXECUTIVE SUMMARY

Project Title: Environmental Stewardship Center on the American River at Cal Expo:
A Scoping Study

Applicant: California Exposition and State Fair

Project Description: The proposed study will use a community-based approach, through a series of facilitated scoping meetings and workshops, to investigate the feasibility and direction of an Environmental Stewardship Center in the American River Parkway on Cal Expo property. The Center would use as a backdrop the adjacent "lower American River wetland slough complex" demonstration restoration project also being proposed to CALFED under separate application. As part of the annual California State Fair, the proposed Center would be ideally situated to showcase restoration and stewardship efforts affecting the Bay-Delta and its tributaries from around the State.

The proposed study involves a series of facilitated workshops with key representatives from community groups, state and local agencies, and other stakeholders to determine constraints and opportunities associated with a possible Environmental Stewardship Center at Cal Expo. The working group will document the anticipated user demand and target audience, extent of community involvement and support, potential funding sources, feasibility of integrating the Center with other environmental education programs or facilities, and options for development and operation of the Center. When completed, the working group will develop a prospectus, to be considered by the County Board of Supervisors, Cal Expo Board of Directors, and other key decision makers to set the stage for future program development.

The primary objectives of the study are to:

- facilitate discussions with key community groups and stakeholders with an interest in the American River Parkway, regional environmental education and restoration, and a state-wide perspective of California's natural resources and water-related ecological issues;
- determine the interest and demand for various environmental education and stewardship opportunities;
- evaluate opportunities to integrate or affiliate with existing local stewardship programs (i.e. Adopt-A-Watershed, In My Backyard) and environmental education programs (i.e. Effie Yeaw Nature Center);
- clarify the potential of the Cal Expo floodplain site for the Stewardship Center; and
- evaluate options for securing funding for future development, administration, and management of the Center.

Approach, Tasks, and Schedule: Working with representative community groups, including Save the American River Association, the American River Parkway Foundation, and the River Natural History Association, Cal Expo and Sacramento County Parks and Recreation will develop a list of key stakeholder groups to participate in the Environmental Stewardship Center Working Group. A key representative will be solicited from each stakeholder group for involvement in a series of planning workshops. Cal Expo will sponsor and facilitate a series of six workshops with the key stakeholders to clarify the feasibility and direction of the proposed Environmental Stewardship Center.

Anticipated tasks are: 1) Identify key stakeholder groups and solicit participation in Working Group; 2) Refine workshop agendas, methods, and schedules; 3) Schedule and facilitate workshops and record the results; 4) Following each workshop, obtain information determined necessary for next workshop, refine agendas, and prepare for subsequent workshop; 5) Develop meeting summary documents, with recommendations, and circulate for review and comment; and 6) Produce a final prospectus summarizing the working group findings and recommendations. The proposed study is expected to last for a period of 18 months.

Justification for Project and Funding by CALFED: The scoping study will pull together the efforts of multiple community-based groups in tandem with state and local resource agencies interested in evaluating opportunities for an Environmental Stewardship Center on Cal Expo property managed by County Parks and Recreation. If deemed feasible by the working group, the summary document and recommendation would set the stage for funding and development of the Center. From its strategic location adjacent to the Cal Expo State Fairgrounds, the center would not only contribute to local and regional environmental education programs but could also reach out to citizens from around the state with educational programs, exhibits and demonstrations on restoration, watershed stewardship, environmentally-friendly agriculture, and the CALFED program and purposes.

Budget Costs and Third Party Impacts: A total of \$60,000 is budgeted for this study. The budget primarily will be allocated to organization and development of the working group, planning workshops, facilitating meetings, and preparation of summary reports by Cal Expo and County Parks and Recreation staff and professional consulting services; and printing and graphic production for workshops and reports. Stakeholder Working Group participants will be expected to donate staff or volunteer time for workshop participation, document review, and technical support.

This scoping study and possible implementation of an Environmental Stewardship Center at Cal Expo are expected to be compatible with floodplain management and recreational use of the area and would have no known adverse third party impacts.

Applicant Qualifications: Cal Expo's on-going educational farm center is available year-round for schools and other organizations and attracts approximately 25,000 student visits annually. The California State Fair attracts over a million visitors annually. Sacramento County Parks and Recreation manages Cal Expo's land in the American River floodplain. They also administer and manage Ancil Hoffman County Park where the non-profit American River Natural History Association provides fundraising and volunteer efforts for the environmental education program of the Effie Yeaw Nature Center.

Monitoring and Data Evaluation: Ecological monitoring and data evaluation are not directly relevant to this scoping effort, however, the participation of community groups, organizations, and other stakeholders will be documented as part of the workshop summary reports.

Local Support/Coordination with Other Programs/Compatibility with CALFED Objectives: The Cal Expo Environmental Stewardship Center concept has been discussed with and supported by numerous community groups and agencies with an interest in the American River.

**CALFED BAY-DELTA PROGRAM
1998 CATEGORY III FUNDING PROPOSAL
FOR
ENVIRONMENTAL EDUCATION**

Environmental Stewardship Center on the American River at Cal Expo :
A Scoping Study

Applicant:

California Exposition and State Fair
Brian May, Assistant General Manager, Operations

Address:

1600 Exposition Boulevard
Sacramento, CA 95815
916/263-3075
FAX: 916/263-3304

Type of Organization:
California State Agency

Tax Identification Number:
94-6032535

Participants and Collaborators:

California Exposition and State Fair
Sacramento County Department of Regional Parks, Recreation, and Open Space
Sacramento Area Flood Control Agency
Save the American River Association
American River Natural History Association
American River Parkway Foundation

IV. PROJECT DESCRIPTION : Environmental Stewardship Center on the American River at Cal Expo: A Scoping Study

Project Description and Approach

The proposed study will use a community-based approach, through a series of facilitated scoping meetings and workshops, to investigate the feasibility and direction of an environmental stewardship center on the American River floodplain on Cal Expo property. As initially conceived, the center would be dedicated to increasing public awareness of environmental issues; facilitating community involvement in restoration of native plant and wildlife habitat; promoting environmental stewardship locally, regionally, and statewide; demonstrating land care traditions from around the world and from Native Americans; and promoting fish and wildlife compatible agricultural practices. The center would use as a backdrop the adjacent "Lower American River wetland slough complex" demonstration restoration project also being proposed to CALFED under separate application. As part of the annual California State Fair, the proposed center would be ideally situated to showcase restoration and stewardship efforts affecting the Bay-Delta and its tributaries from around the State.

The proposed study involves a series of facilitated workshops with key representatives from community groups, state and local agencies, and other stakeholders to determine constraints and opportunities associated with a possible environmental stewardship center at Cal Expo. The working group will document the anticipated user demand and target audience, extent of community involvement and support, potential funding sources, feasibility of integrating the center with other environmental education programs or facilities, and options for development and operation of the center. When completed, the working group will develop a prospectus, to be considered by the County Board of Supervisors, Cal Expo Board of Directors, and other key decision makers to set the stage for future program development.

The primary objectives of the study are to:

- facilitate discussions with key community groups and stakeholders with an interest in the American River Parkway, regional environmental education and restoration, and a state-wide perspective of California's natural resources and water-related ecological issues;
- determine the interest and demand for various environmental education and stewardship opportunities;
- evaluate opportunities to integrate or affiliate with existing local stewardship programs (i.e. Adopt-A-Watershed, In My Backyard) and environmental education programs (i.e. Effie Yeaw Nature Center, Explorit Science Center);
- clarify the potential of the Cal Expo floodplain site for a stewardship center; and
- evaluate options for securing funding for future development, administration, and management of the center.

Proposed Scope of Work

The anticipated tasks needed to complete this study are as follows:

Task 1. Identify key stakeholder groups and solicit representative participation for working group. This task is expected to take place during the first month following completion of the contract. It will involve coordination between existing community groups and resource agencies already in support of the *Environmental Stewardship Center* concept to identify additional stakeholder groups and technical advisors whose participation in the working group workshops will be solicited.

Task 2. Development of workshop agendas and schedules. This task will be completed during the first month following completion of the contract and will be circulated to the key stakeholders identified in Task 1.

Task 3. Facilitate Six Workshops. Over a 16 month period, six working group workshops will be conducted. Workshop agendas could include topics such as determining the interest and demand for education and for community service that could be addressed through the stewardship center; investigating existing stewardship programs, other regional and local environmental education programs, and opportunities to integrate or affiliate with them; clarifying opportunities and constraints associated with using the Cal Expo site for the Environmental Stewardship Center; and identifying funding options for future program development, management, and project implementation.

Task 4. Prepare Six Draft Workshop Summary Reports and Circulate to Working Group for Review and Comment. Following each workshop, a draft summary report documenting the topics discussed, findings, and recommendations will be prepared and circulated to the working group for review and comment.

Task 5. Collect supplemental information, refine workshop agenda, and prepare materials for subsequent workshop. During the two month period between workshops, additional information will be obtained as determined necessary by the working group, subsequent workshop agendas will be refined and circulated, and materials will be prepared for the next workshop.

Task 6. Conduct Program Review Presentation at CALFED Annual Meeting, Prepare Six Quarterly Reports. As requested in the Proposal Solicitation Package, the program manager will be available to present a review of the study's progress at the annual meeting. Quarterly reports will be provided to CALFED documenting the progress of the study efforts.

Task 7. Prepare Final Report and Prospectus Summarizing Findings and Recommendations of the Working Group. During the 18th month following contract completion, the final report and prospectus for the Environmental Stewardship Center at Cal Expo will be developed summarizing the findings and recommendations of the working group. An internal draft of the document will be circulated to the working group, and a final refined document will be completed.

Location of the Project

The proposed study will involve a series of workshops that will take place in the Sacramento area, possibly located at the Cal Expo administration buildings. The location of the Environmental Stewardship Center is anticipated to be located in the American River floodplain terrace on land owned by Cal Expo and managed by Sacramento County Parks and Recreation.

Expected Benefits

The expected benefit of the proposed Scoping Study is to initiate a community-based program of environmental stewardship and ecological education. The study will lead to the development of a prospectus that evaluates the feasibility of the Cal Expo Environmental Stewardship Center and can provide a firm foundation for on-going project support and implementation.

Background and Ecological/Biological/Technical Justification

The proposed Environmental Stewardship Center is ideally situated in an area of scenic, recreational, fishery and wildlife values amidst the large urban expanse of the greater Sacramento Metropolitan Area. The county of Sacramento and the City of Sacramento have developed the American River Parkway, a 23-mile open-space greenbelt in the lower American River floodplain, and have promulgated land use regulations to prevent urban and other incompatible encroachment into the parkway.

The California Exposition and State Fair Board of Directors are committed to the preservation, for public day use and enjoyment, of the California Exposition flood plain in a manner consistent with the American River Parkway, except that the parking of public vehicles is permitted on a 15-acre portion of the floodplain terrace during the State Fair only. The Environmental Stewardship Center is proposed to be located within the currently used summer parking area located on the Cal Expo property in the American River Parkway.

Because of the abundance of natural habitat in close proximity to a large population center, the Cal Expo site provides a unique opportunity for visitors to learn of environmental stewardship opportunities close to home. In addition, because it can be integrated into the California State Fair exhibits, there is great potential to reach an even larger, statewide audience.

The extent of existing community involvement in the American River Parkway illustrates the great local interest in the natural resource and recreational values provided along the American River. The Effie Yeaw Nature Center and Maidu Cultural Heritage Program, located at Ancil Hoffman County Park approximately 7 miles upstream, provides environmental and cultural resources education programs that are used by large numbers of individuals, school groups, and other organizations year-round. The programs have become so popular, that the demand far exceeds the Center's ability to accommodate all the groups and individuals who would like to participate. The proposed Cal Expo Environmental Stewardship Center scoping study will evaluate the possibility of integrating or affiliating with the Effie Yeaw Nature Center and Maidu Cultural Heritage Program to help meet the demand for educational programs in the region.

The Cal Expo Environmental Stewardship Center could potentially indirectly influence multiple Ecosystem Restoration Program Plan (ERPP) objectives. Through education, there will be increased public awareness of the natural ecological processes of the central valley streams including the processes of sediment supply, stream channel meander, point bar formation, natural floodplain, and flooding dynamics (ERPP Vol. II p.300-304). The Center can provide insight into the current and historic water management and flood control practices on the American River and how they have influenced the current river conditions.

Increased environmental awareness through ecological education opportunities at the Environmental Stewardship Center could indirectly address other ERPP objectives such as preserving and enhancing natural resource and wildlife habitat values (ERPP Vol. II p. 307) and maintaining habitat for chinook salmon, steelhead, splittail, and other native fish and wildlife populations (ERPP Vol. II p. 312-315) of the riverine and aquatic ecosystem.

Monitoring and Data Evaluation

Ecological monitoring and data evaluation are not directly relevant to this scoping effort, however, the participation of community groups, organizations, and other stakeholders will be documented as part of the workshop summary reports.

Implementability

The regulatory requirements necessary to implement the project will be identified when the scope and direction of the Environmental Stewardship Center has been refined. The ability to achieve environmental regulatory compliance will be an integral component in evaluating the feasibility of using the Cal Expo site for the Center.

V. COSTS AND SCHEDULE TO IMPLEMENT PROPOSED PROJECT

Budget Costs

The budget for the proposed project is summarized by task in Table 1 (attached).

Schedule Milestones

The proposed project is expected to be completed over an 18-month time frame. Anticipated start and completion dates for each task are described in each task description. Quarterly payments are requested from CALFED and monthly accounting reports will be submitted to CALFED from Cal Expo.

Third Party Impacts

This scoping study and possible implementation of an environmental stewardship center at Cal Expo are expected to be compatible with floodplain management and recreational use of the area and would have no known adverse third party impacts.

VI. APPLICANT QUALIFICATIONS

Cal Expo Assistant General Manager Brian A. May and Sacramento County Department of Regional Parks, Recreation and Open Space Director Ron Suter will be co-managing the implementation and execution of this project. These two agencies and individuals have a history of working collaboratively on issues in the Cal Expo floodplain. The County, in particular, has undertaken similar planning processes as part of its American River Parkway Plan which qualifies it to sufficiently carry out the project objectives.

Administration and clerical support will also be shared by the agencies. Technical expertise will be contracted to help facilitate a series of planning workshops, record results, and provide a final report at the conclusion of the study.

VII. COMPLIANCE WITH STANDARD TERMS AND CONDITIONS

As provided in Attachment E of in the CALFED Proposal Solicitation Package, the following completed forms are attached:

U.S. Department of the Interior. "Certifications Regarding Debarment, Suspension and Other Responsibility Matters, Drug-Free Workplace Requirements and Lobbying" (DI-2021, June 1995)

Figure 1. Standard Form 424. Application for Federal Assistance. (SF 424 REV. 4-92)

Figure 2. Standard Form 424A. Budget Information - Non-Construction Programs. (SF 424A Rev. 4-92)

Figure 3. Standard Form 424B. Assurances - Non-Construction Programs. (SF 424B Rev. 4-92)

Figure 4. Standard Form 424C. Budget Information - Construction Programs (SF 424C Rev. 4-92)

Figure 5. Standard Form 424D. Assurances - Construction Programs (SF 424D Rev. 4/92)

Table 1

COST BREAKDOWN TABLE
for Scoping Study of the Environmental Stewardship Center
at Cal Expo

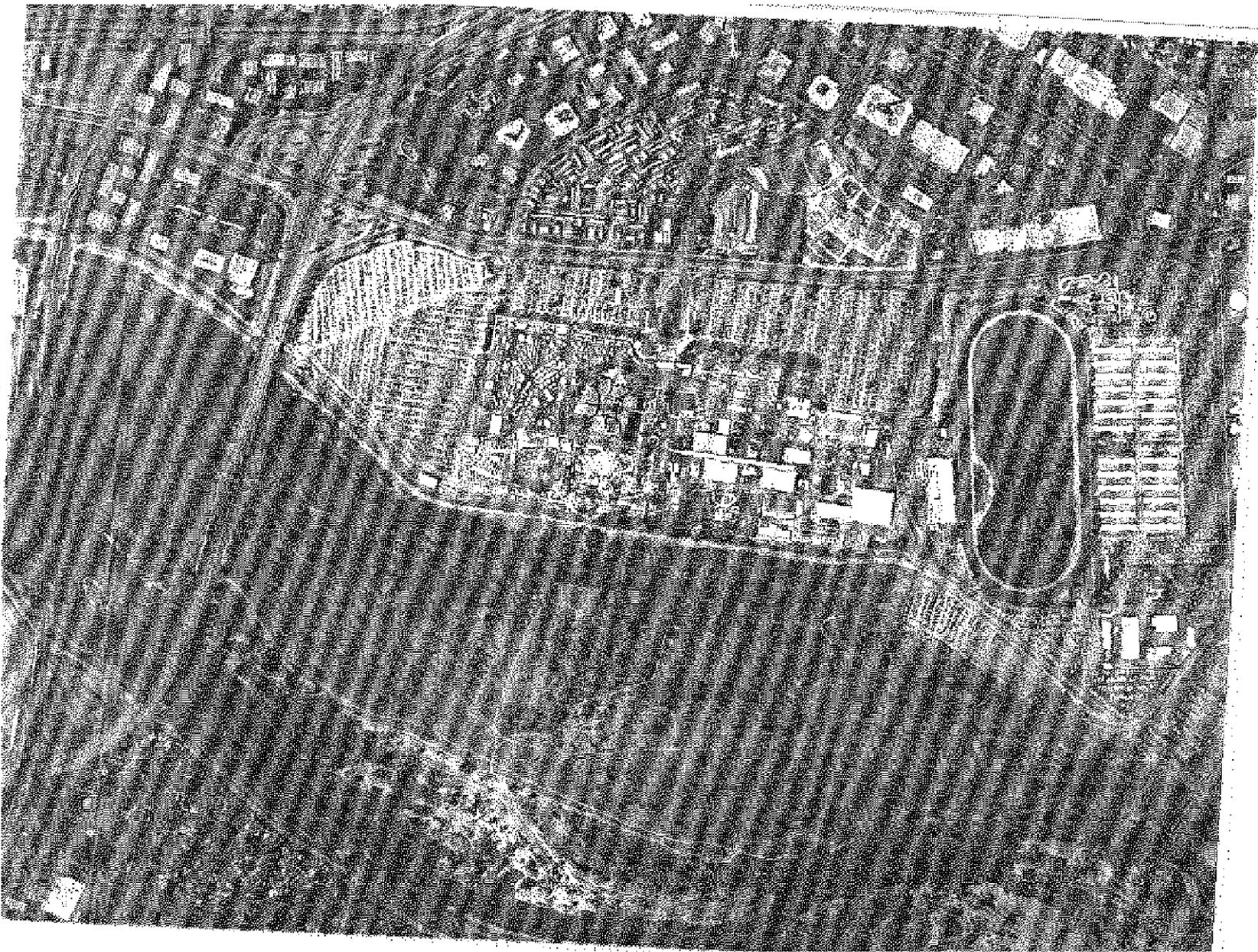
	Direct Labor Hours	Direct Salary & Benefits	Overhead Labor (General, Admin. & Fee)	Service Contracts (Professional Consulting Services)	Material and Acquisition Contracts	Miscellaneous & Other Direct Costs	Total Cost
TASK 1 Identify Stakeholders and Solicit Participation	100	\$3,125.00	\$125.00	--	N/A	\$250	\$3,500
TASK 2 Develop Agendas & Schedules	100	\$3,125.00	\$125.00	\$3,600	N/A	\$800	\$7,650
TASK 3 Facilitate Six Workshops	70	\$2,187.50	\$87.50	\$3,600	N/A	\$250	\$6,125
TASK 4 Prepare & Circulate Summary Reports	100	\$3,125.00	\$125.00	\$1,800	N/A	\$800	\$5,850
TASK 5 Collect Data, Refine Agendas & Prepare for Workshops	600	\$18,750.00	\$750.00	\$6,000	N/A	\$1,000	\$26,500

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Table 1 (continued)

TASK 6 Conduct Presentation & Prepare Quarterly Reports	60	\$1,875.00	\$75.00	--	N/A	\$600	\$2,550
TASK 7 Prepare Final Report & Prospectus	200	\$6,250.00	\$125.00	--	N/A	\$800	\$7,175
TOTAL	1,230	\$38,437.50	\$1,412.50	\$15,000		\$4,500	\$59,350



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Figure 4
Standard Form 424C

OMB Approval No. 0348-0041

BUDGET INFORMATION — Construction Programs

NOTE: Certain Federal assistance programs require additional computations to arrive at the Federal share of project costs eligible for participation. If such is the case you will be notified

COST CLASSIFICATION		a. Total Cost	b. Costs Not Allowable for Participation	c. Total Allowable Costs (Column a-b)
1.	Administrative and legal expenses	\$.00	\$.00	\$.00
2.	Land, structures, rights-of-way, appraisals, etc.	\$.00	\$.00	\$.00
3.	Relocation expenses and payments	\$.00	\$.00	\$.00
4.	Architectural and engineering fees	\$.00	\$.00	\$.00
5.	Other architectural and engineering fees	\$.00	\$.00	\$.00
6.	Project inspection fees	\$.00	\$.00	\$.00
7.	Site work	\$.00	\$.00	\$.00
8.	Demolition and removal	\$.00	\$.00	\$.00
9.	Construction	\$.00	\$.00	\$.00
10.	Equipment	\$.00	\$.00	\$.00
11.	Miscellaneous	\$.00	\$.00	\$.00
12.	SUBTOTAL (sum of lines 1-11)	\$.00	\$.00	\$.00
13.	Contingencies	\$.00	\$.00	\$.00
14.	SUBTOTAL	\$.00	\$.00	\$.00
15.	Project (program) income	\$.00	\$.00	\$.00
16.	TOTAL PROJECT COSTS (subtract #15 from #14)	\$.00	\$.00	\$.00
FEDERAL FUNDING				
17.	Federal assistance requested, calculate as follows: (Consult Federal agency for Federal percentage share). Enter the resulting Federal share.	Enter eligible costs from line 16c Multiply X _____ %		\$.00

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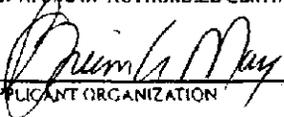
Standard Form 424C (Rev. 4-92)
Prescribed by OMB Circular A-102

1-012586

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Figure 3
Standard Form 424B (cont'd.)

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a - 7), the Copeland Act (40 U.S.C. §§276c and 18 U. S. C. §§374), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §§ 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984 or OMB Circular No. A-133, Audits of Institutions of Higher Learning and other Non-profit Institutions.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE AGM
APPLICANT ORGANIZATION CE + SF	DATE SUBMITTED 7/2/98

Standard Form 424B (Rev. 4/82) back

Figure 2
Standard Form 424A (cont'd.)

INSTRUCTIONS FOR THE SF-424A (continued)

narrative statement the nature and source of income. The estimated amount of program income may be considered by the federal grantor agency in determining the total amount of the grant.

Section C. Non-Federal Resources

Lines 8-11 Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

Column (a) - Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

Column (b) - Enter the contribution to be made by the applicant.

Column (c) - Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

Column (d) - Enter the amount of cash and in-kind contributions to be made from all other sources.

Column (e) - Enter totals of Columns (b), (c), and (d).

Line 12—Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f) Section A.

Section D. Forecasted Cash Needs

Line 13 - Enter the amount of cash needed by quarter from the grantor agency during the first year.

Line 14 - Enter the amount of cash from all other sources needed by quarter during the first year.

Line 15 - Enter the totals of amounts on Lines 13 and 14.

Section E. Budget Estimates of Federal Funds Needed for Balance of the Project

Lines 16-19 - Enter in Column (a) the same grant program titles shown in Column (a), Section A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funds for the current year of existing grants.

If more than four lines are needed to list the program titles, submit additional schedules as necessary.

Line 20 - Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

Section F. Other Budget Information

Line 21 - Use this space to explain amounts for individual direct object-class cost categories that may appear to be out of the ordinary or to explain the details as required by the Federal grantor agency.

Line 22 - Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

Line 23 - Provide any other explanations or comments deemed necessary.

Figure 3
Standard Form 424B

OMB Approval No. 0348-0040

ASSURANCES — NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET, SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U. S. C. §4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C. F. R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U. S. C. §1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U. S. C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U. S. C. §6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P. L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

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Standard Form 424B (Rev. 4/92)
Prescribed by GMS Circular A-102

Figure 2
Standard Form 424A (cont'd.)

INSTRUCTIONS FOR THE SF-424A

Public reporting burden for this collection of information is estimated to average 180 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0044), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET, SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the latter case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a single Federal grant program (Federal Domestic Assistance Catalog number) and not requiring a functional or activity breakdown, enter on Line 1 under Column (a) the catalog program title and the catalog number in Column (b).

For applications pertaining to a single program requiring budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the catalog program title on each line in Column (a) and the respective catalog number on each line in Column (b).

For applications pertaining to multiple programs where one or more programs require a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

Lines 1-4, Columns (c) through (g)

For new applications, leave Columns (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

For continuing grant program applications, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (c) and (f).

For supplemental grants and changes to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (c) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (c) and (f).

Line 5—Show the totals for all columns used.

Section B Budget Categories

In the column headings (1) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Lines 6a-i—Show the totals of Lines 6a to 6h in each column.

Line 6j - Show the amount of indirect cost.

Line 6k - Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

Line 7 - Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount. Show under the program

Figure 2
Standard Form 424A

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION 5 - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$ 60,000	\$	\$ 60,000
2.						
3.						
4.						
5. Totals		\$	\$	\$	\$	\$
SECTION 6 - BUDGET CATEGORY						
6. Object Class Categories	GRANT PROGRAM FUNCTION OR ACTIVITY					Total (h)
	(i)	(j)	(k)	(l)	(m)	
a. Personnel	30,750					
b. Fringe Benefits	9,224					
c. Travel						
d. Equipment						
e. Supplies	3,489					
f. Contractual	15,000					
g. Construction						
h. Other	1,537					
i. Total Direct Charges (sum of 6a-6h)	60,000					
j. Indirect Charges						
k. TOTALS (sum of 6i and 6j)	\$ 60,000	\$	\$	\$	\$	\$
7. Program Income		\$	\$	\$	\$	\$

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Standard Form 424A (Rev. 4-92)
Prescribed by OMB Circular A-102

1-012591

1-012591

Figure 1
Standard Form 424

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approval No. 0348-0043

2. DATE SUBMITTED		Applicant Identifier <p align="center">N/A</p>	
1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction <input type="checkbox"/> Non-Construction		3. DATE RECEIVED BY STATE <p align="center">N/A</p>	
		State Application Identifier <p align="center">N/A</p>	
		4. DATE RECEIVED BY FEDERAL AGENCY	
		Federal Identifier	
5. APPLICANT INFORMATION			
Legal Name:		Organizational Unit:	
Address (give city, county, state, and zip code):		Name and telephone number of person to be contacted on matters involving this application (give area code):	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): □□ - □□□□□□□□		7. TYPE OF APPLICANT: (Enter appropriate letter in box) <input type="checkbox"/>	
8. TYPE OF APPLICATION: <input type="checkbox"/> New <input type="checkbox"/> Construction <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) <input type="checkbox"/> <input type="checkbox"/> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other (specify): _____		A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) _____	
		9. NAME OF FEDERAL AGENCY:	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE: <p align="center">N/A</p>		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):			
13. PROPOSED PROJECT		14. CONGRESSIONAL DISTRICTS OF:	
Start Date	Ending Date	a. Applicant	b. Project
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal	\$ 00	b. YES: THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____	
b. Applicant	\$ 00	d. NO: <input type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
c. State	\$ 00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?	
d. Local	\$ 00	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> Yes, attach an explanation <input type="checkbox"/> No	
e. Other	\$ 00		
f. Program Income	\$ 00		
g. TOTAL	\$ 00		
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.			
a. Type Name of Authorized Representative		b. Title	c. Telephone Number
d. Signature of Authorized Representative		e. Date Signed	

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Standard Form 424 (REV. 4-81)
Prescribed by OMB Circular A-102

**PART E: Certification Regarding Lobbying
Certification for Contracts, Grants, Loans, and Cooperative Agreements**

*CHECK IF CERTIFICATION IS FOR THE AWARD OF ANY OF THE FOLLOWING AND
THE AMOUNT EXCEEDS \$100,000: A FEDERAL GRANT OR COOPERATIVE AGREEMENT;
SUBCONTRACT, OR SUBGRANT UNDER THE GRANT OR COOPERATIVE AGREEMENT.*

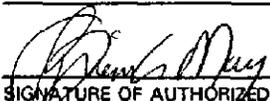
*CHECK IF CERTIFICATION IS FOR THE AWARD OF A FEDERAL
LOAN EXCEEDING THE AMOUNT OF \$150,000, OR A SUBGRANT OR
SUBCONTRACT EXCEEDING \$100,000, UNDER THE LOAN.*

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

As the authorized certifying official, I hereby certify that the above specified certifications are true.



SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

Brian A. May, ASST G.M.

TYPED NAME AND TITLE

DATE

PART C: Certification Regarding Drug-Free Workplace Requirements

CHECK IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS NOT AN INDIVIDUAL

Alternate I. (Grantees Other Than Individuals)

A. The grantee certifies that it will or continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about—
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will —
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted —
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a) (b), (c), (d), (e) and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

1400 Exposition Blvd
Sacramento, Ca 95815 County of Sacramento

Check if there are workplaces on file that are not identified here.

PART D: Certification Regarding Drug-Free Workplace Requirements

CHECK IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS AN INDIVIDUAL

Alternate II. (Grantees Who Are Individuals)

- (a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to the grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

DI-2010
June 1996
(This form replaces DI-1963, DI-1964,
DI-1966, DI-1968 and DI-1963)

**Certifications Regarding Debarment, Suspension and
Other Responsibility Matters, Drug-Free Workplace
Requirements and Lobbying**

Persons signing this form should refer to the regulations referenced below for complete instructions:

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions - The prospective primary participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. See below for language to be used or use this form for certification and sign. (See Appendix A of Subpart D of 43 CFR Part 12.)

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions - (See Appendix B of Subpart D of 43 CFR Part 12.)

Certification Regarding Drug-Free Workplace Requirements - Alternate I. (Grantees Other Than Individuals) and Alternate II. (Grantees Who are Individuals) - (See Appendix C of Subpart D of 43 CFR Part 12)

Signature on this form provides for compliance with certification requirements under 43 CFR Parts 12 and 18. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of the Interior determines to award the covered transaction, grant, cooperative agreement or loan.

PART A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions

CHECK IF THIS CERTIFICATION IS FOR A PRIMARY COVERED TRANSACTION AND IS APPLICABLE

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
 - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

PART B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions

CHECK IF THIS CERTIFICATION IS FOR A LOWER TIER COVERED TRANSACTION AND IS APPLICABLE

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

DI-2016
June 1998
(This form replaces DI-1983, DI-1984,
DI-1986, DI-1986 and DI-1992)