

G1077

Attachment H

COVER SHEET (PAGE 1 of 2)

May 1998 CALFED ECOSYSTEM RESTORATION PROPOSAL SOLICITATION

Proposal Title: SAN PABLO BAYLANDS WATERSHED CONSERVATION AND STEWARDSHIP IMPLEMENTATION PLAN

Applicant Name: COMMUNITY CONSERVATION PARTNERS

Mailing Address: 1212 BROADWAY, SUITE 808, OAKLAND CA 94612

Telephone: (510) 763-0211

Fax: (510) 208-4435

Amount of funding requested: \$ 150,000 for ONE years

Indicate the Topic for which you are applying (check only one box). Note that this is an important decision: see page ___ of the Proposal Solicitation Package for more information.

- Fish Passage Assessment
- Floodplain and Habitat Restoration
- Fish Harvest
- Watershed Planning/Implementation
- Fish Screen Evaluations - Alternatives and Biological Priorities
- Fish Passage Improvements
- Gravel Restoration
- Species Life History Studies
- Education

Indicate the geographic area of your proposal (check only one box):

- Sacramento River Mainstem
- Delta
- Suisun Marsh and Bay
- San Joaquin River Mainstem
- Landscape (entire Bay-Delta watershed)
- Sacramento Tributary: _____
- East Side Delta Tributary: _____
- San Joaquin Tributary: _____
- Other: _____
- North Bay: SAN PABLO BAYLANDS

Indicate the primary species which the proposal addresses (check no more than two boxes):

- San Joaquin and East-side Delta tributaries fall-run chinook salmon
- Winter-run chinook salmon
- Late-fall run chinook salmon
- Delta smelt
- Splittail
- Green sturgeon
- Migratory birds
- Spring-run chinook salmon
- Fall-run chinook salmon
- Longfin smelt
- Steelhead trout
- Striped bass



PSP May 1998

COVER SHEET (PAGE 2 of 2)

May 1998 CALFED ECOSYSTEM RESTORATION PROPOSAL SOLICITATION

Indicate the type of applicant (check only one box):

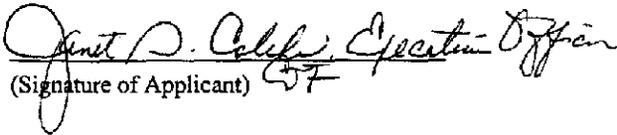
- | | |
|--|--|
| <input type="checkbox"/> State agency | <input type="checkbox"/> Federal agency |
| <input type="checkbox"/> Public/Non-profit joint venture | <input checked="" type="checkbox"/> Non-profit |
| <input type="checkbox"/> Local government/district | <input type="checkbox"/> Private party |
| <input type="checkbox"/> University | <input type="checkbox"/> Other: _____ |

Indicate the type of project (check only one box):

- | | |
|--|---|
| <input checked="" type="checkbox"/> Planning | <input type="checkbox"/> Implementation |
| <input type="checkbox"/> Monitoring | <input type="checkbox"/> Education |
| <input type="checkbox"/> Research | |

By signing below, the applicant declares the following:

- (1) the truthfulness of all representations in their proposal;
- (2) the individual signing the form is entitled to submit the application on behalf of the applicant (if applicant is an entity or organization); and
- (3) the person submitting the application has read and understood the conflict of interest and confidentiality discussion in the PSP (Section II.K) and waives any and all rights to privacy and confidentiality of the proposal on behalf of the applicant, to the extent as provided in the Section.


(Signature of Applicant) *57*

II. Executive Summary

a. Project Title and Applicant Name

Project Title: San Pablo Baylands Watershed Conservation and Stewardship Implementation Plan

Applicant Name: Community Conservation Partners (CCP)

b. Project Description and Primary Biological/Ecological Objectives

CCP will facilitate enhancement and restoration of North Bay wetlands by working with willing landowners to acquire or establish conservation easements on key wetland properties. We then will develop wetland enhancement and/or restoration plans for those properties. This project will promote voluntary preservation and restoration of a 30,000-acre area of critical wetland habitat. Conservation easement and estate planning workshops will be conducted for landowners. Key habitats targeted are tidal wetlands, tidal sloughs and seasonal wetlands. Priority species include Steelhead Trout, Striped Bass, California Clapper Rail, Salt Marsh Harvest Mouse and Migratory Birds. This project would continue and expand upon an effort already underway known as the San Pablo Baylands Partnership.

Phase I will identify and assist willing agricultural landowners to establish conservation easement programs on their property to facilitate protection of existing critical habitat and restoration of former habitat. Phase II will be a technical study to describe restoration goals and develop a plan for their attainment on selected conservation easement lands, including a biological monitoring plan. Phase I is mandatory and independent. Phase II follows and relies on implementation of Phase I.

c. Approach/Tasks/Schedule

Approach: The project will continue use of a community-based approach emphasizing voluntary participation of agricultural landowners to preserve private lands.

Tasks:

- Conduct workshops to educate landowners about estate tax planning, conservation easements and other alternatives that will assist in the preservation of existing agricultural lands that function as wetlands and promote tidal restoration of other agricultural lands. (Phase I)
- Provide landowners technical and fundraising assistance with specific land conservation transactions, such as conservation easements. (Phase I)
- Conduct a regional assessment of restoration site requirements and constraints. (Phase II)
- Develop site-specific restoration plans for selected land parcels and develop quantitative estimates on the ecological benefits of conserving and restoring those sites. (Phase II)

Schedule: All tasks in Phase I and Phase II of the Project will be completed within one year.

d. Justification for Project and Funding by CALFED

A Baylands Stewardship Plan was developed and adopted by many of the region's land-owners, and resulted in a 400-acre tidal restoration project and agreement to pursue development of other environmental enhancement projects. Because of the size of this wetland ecosystem, large-scale restoration projects are possible that could support large populations of species targeted by

CALFED. Many, if not all, of the Priority Species identified by CALFED will benefit from the implementation of restoration and preservation activities proposed in this application.

e. Budget Costs and Third Party Impacts

Budget Costs: The total amount budgeted for this project is \$150,000. Of this amount, \$100,000 is designated for Phase I and \$50,000 is designated for Phase II.

Third Party Impacts: Landowners who elect to participate in the program could reap financial benefits by sale or gifts of Conservation Easements. Existing marginally productive agricultural lands would be converted to tidal wetlands. Small increases in the import of hay and grains would be needed to offset the loss of existing local supplies.

f. Applicant Qualifications

Community Conservation Partners and the San Pablo Baylands Partnership have demonstrated success in their community-based approach to land conservation and restoration of habitats and watersheds. CCP has extensive experience in conducting workshops on conservation easement and estate planning for rural landowners throughout California. Beginning with a focus group study of California rural landowners, CCP followed in 1997 with an intensive education program consisting of specialized workshops for rural landowners. CCP is facilitating acquisition of a conservation easement on 18,000 acres of rural lands in the southern Diablo Range, and plans to secure an additional 40,000 acres of easements in pristine areas of the watershed.

The San Pablo Baylands Partnership's Project Manager, Marc Holmes, and Technical Team Coordinator, Ginger Strong, have worked with landowners in the North Bay region since 1995 to develop the San Pablo Baylands Stewardship Plan. The Partnership has demonstrated a strong track-record of success by completing a regional Stewardship Plan, inaugurating a 400-acre restoration project, and developing an aggressive public education campaign. Through these efforts, the Partnership has established effective working relationships with the region's landowners. By itself, the 400-acre restoration project relied on securing support of landowners and government agencies, alike. Mr. Holmes and Ms. Strong are knowledgeable about the social and environmental character of the region, and have strong ties with key stakeholders.

g. Monitoring and Data Evaluation

A conceptual biological monitoring plan will be included in the final report to guide implementation of restoration projects. Success of the project will be judged by two criteria: (a) landowner participation in the program, and (b) easements and/or properties acquired.

h. Local Support/Coordination with other Programs/Compatibility with CALFED objectives

We have established successful collaboration on the project with numerous local landowners, agencies and citizen organizations, including: Domaine Chandon Winery; Sears Point Farms; Sonoma Resource Conservation District; Corda Dairy; California Department of Fish and Game; U. S. Fish and Wildlife Service; to name only a few. The primary objective of the project, to promote restoration of a 50,000-acre tidal wetland ecosystem, directly and completely support CALFED objectives described in ERPP.

III. Title Page

- a. **Title of Project:** San Pablo Baylands Watershed Conservation and Stewardship Implementation Plan
- b. **Name of applicant/principle investigators(s); address; phone/fax/E-mail; organizational, institutional or corporate affiliations of applicant/principle investigator(s)**

Community Conservation Partners
Janet Cobb, Executive Officer
Marc Holmes, Program Manager
Ginger Strong, Technical Team Coordinator

1212 Broadway, Suite 808
Oakland, CA 94612
T: (510) 763-0211
F: (510) 208-4435
E-mail: jscobb@igc.apc.org

- c. **Type of Organization and Tax status**

Community Conservation Partners is a program within the California Oak Foundation (COF). COF is a California nonprofit 501(c)(3) corporation. COF will be the fiscal and administrative agent for this project.

- d. **Tax Identification Number**

68-0162394

- e. **Participants/Collaborators in Implementation**

A number of North Bay rural landowners, local Resource Conservation Districts, California Department of Fish and Game, U.S. Fish and Wildlife Service, The Bay Institute, California Coastal Conservancy, U.S. Environmental Protection Agency, Regional Water Quality Control Board, Save San Francisco Bay Association, Institute for Ecological Health, and San Francisco Bay Joint Venture.

1212 Broadway, #808
Oakland, CA 94612
510/763-0211
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email jscobb@igc.apc.org

IV. Project Description

a. Project Description and Approach

CCP proposes to implement an element of the existing San Pablo Baylands Stewardship Plan that focuses on securing conservation easements and undertaking wetland restoration projects in the San Pablo Baylands region. This project will promote voluntary preservation and restoration of 30,000 acres of critical wetlands. Conservation easement and estate planning workshops will be conducted for landowners. Key habitats targeted are tidal wetlands, tidal sloughs and seasonal wetlands. Priority species include Steelhead Trout, Striped Bass, California Clapper Rail, Salt Marsh Harvest Mouse and Migratory Birds. This proposal is part of an ongoing project to cultivate private stewardship in the San Pablo Bay region. A Stewardship Plan has been developed that generally describes approaches to protect and restore the region's wetlands. Landowners in the region are now poised to pursue active land conservation and restoration strategies.

The substantial ecological and agricultural resources in the Baylands are of enormous social, cultural and economic importance to the region and its inhabitants. Many people who live and work in the Baylands are eager to protect these valuable assets, and they seek opportunities and funding that will meet the long term needs of preserving, enhancing, and restoring these resources. To this end, the project will continue use of a community-based approach emphasizing the voluntary participation of agricultural landowners in conserving and restoring the San Pablo Baylands tidal wetlands ecosystem.

In Phase I of the project, we will identify and assist willing agricultural landowners to establish conservation easement programs on their property to facilitate protection of existing critical habitat and restoration of former habitat. CCP's outreach work with rural landowners has found that estate taxes pose a significant threat to landowners who wish to pass land on to their children and provide for long-term operation of the family agricultural business. As land values have escalated in California in the last generation, the potential value of current landowners' estates has also risen. Usually, the most significant portion of a landowner's estate is the value of the land itself, with little cash available to pay estate taxes, which can run as high as 60% of taxable estate values! The heirs are left with few options but to sell the agricultural land to pay the inheritance taxes. Fortunately, a number of mechanisms exist by which landowners can lower the estate burdens faced by their heirs. Conservation easements, trusts and life insurance programs are a few of the strategies that can assist a landowner to meet long-term goals for the family, the land, and the agricultural business.

Outreach to landowners will include distributing information to landowners that will identify obstacles that landowners face in keeping agricultural lands in the family through the generations. A handbook and workshop will describe particular tools that landowners can use, such as conservation easements, that can preserve agricultural lands in perpetuity and allow agricultural businesses to operate. Finally, CCP and its network of financial, land planning, and habitat restoration contacts will provide specialized assistance to landowners willing to implement conservation easement and restoration programs on their land. Participation in the workshop is completely voluntary, as are any additional steps landowners may take to establish a conservation

easement program on their property or use other means of estate tax planning to secure the future of their agricultural land.

In Phase II of the project, a technical study will be undertaken to describe restoration goals and develop a plan for their attainment on selected conservation easement lands, including a biological monitoring plan. Our technical team will work with interested landowners to identify suitable sites for ecological enhancement and/or restoration. In most cases, we expect that landowner may wish to consider restoring portions of their property, and in other cases they may wish to consider restoration of their entire holdings. Impacts of restoration on adjacent properties will be assessed, and the ecological benefits of the restoration will be estimated. Following the site assessment, the project sponsors will provide interested landowners with personalized consultation about land and estate planning options, and will facilitate conservation easement transactions for those landowners who elect to pursue that option.

Phase II follows and relies on implementation of Phase I. In order to implement restoration projects, it is first necessary to identify landowners who are willing to employ permanent conservation strategies and large-scale restoration projects.

b. Proposed Scope of Work

Phase I:

Conduct workshops to educate landowners about estate tax planning, conservation easements and other alternatives that will assist in the preservation of existing agricultural lands that function as seasonal wetlands and promote tidal restoration of other agricultural lands.

Task 1: Identify and recruit lead landowners to co-host estate tax planning and conservation easement workshop. Schedule and plan workshop including location and speakers; draft and design invitation. Cost: \$5,000. Deliverables: Invitation and agenda. Schedule: Weeks 3-5.

Task 2: Finalize invitation and distribute to mailing list with estate planning brochure; accept workshop RSVPs and send confirmations and finalized agenda to attendees. Cost: \$25,000. Deliverables: First-tier prospect list for estate planning and restoration. Schedule: Weeks 6-10.

Task 3: Host workshop; develop, distribute, collect and analyze evaluation/interest sheets. Cost: \$10,000. Deliverables: List of potential restoration sites; list of potential conservation easement or land acquisition site, assessment of landowners' concerns and issues regarding land conservation opportunities through estate planning. Schedule: Weeks 11-12.

Task 4. Using the evaluation/interest sheet information, as well as other written and verbal feedback received from the first 12 weeks of the program, provide additional technical assistance as requested to particular landowners considering conservation easements or other estate planning mechanisms. These activities would include meetings with landowners, touring potential conservation sites, referring landowners to land planners or financial planners, investigating funding options to purchase conservation easements, facilitating conservation easement transactions with all interested parties, such as the landowner, a land trust, local, regional, state and federal agencies, and other interested partners. Cost: \$60,000.

Deliverables: Project summaries, letters to relevant parties, such as project supporters and potential easement holders, list of potential funding sources. **Schedule:** Week 13 through close of project in Month 12.

Phase II:

Evaluate the levee system in the Baylands and identify opportunities to restore or enhance wetlands and riparian habitats. Provide regulatory assistance, and site-specific conceptual plans for landowners who wish to participate in the wetlands enhancement or restoration program.

Task 5: Compile and analyze existing information on the physical condition of the region to guide site-specific enhancement and restoration planning. Analyze (a) levee system integrity and maintenance, (b) waterways, capacity and channel needs, and (c) integration of upland and Baylands interface needs from landowner, economic and environmental perspectives. **Cost:** \$16,500. **Deliverables:** Environmental assessment report.

Task 6: Compile and publish information on environmental habitat restoration programs and levee and wetlands regulatory requirements. **Cost:** \$4,500. **Deliverables:** Consultations with landowners and report on regulatory requirements.

Task 7: Establish and publish design and monitoring criteria, budgets and timelines for specific wetland restoration projects, including information about levee relocation and stabilization needs, channel stabilization and re-vegetation, and enhancement of existing wetlands areas. **Cost:** \$29,000. **Deliverables:** Restoration design guidelines report.

Phase II Schedule: Development of restoration plans will be conducted as soon as landowners decide to participate in the program, during Week 13 through the close of the project.

c. Location and/or Geographic Boundaries of the Project

The San Pablo Baylands surround San Pablo Bay in northern San Francisco Bay, west of Suisun Bay. The project planning area encompasses portions of four counties in California (Marin, Sonoma, Napa, and Solano) and resides within the five principal watersheds of Miller Creek, Novato Creek, the Petaluma River, the Sonoma Creek, and the Napa River. The Baylands region is ringed by several urban centers, including the cities of Novato, Vallejo, American Canyon, Napa, Sonoma, and Petaluma.

d. Expected benefit(s)

Detailed planning and implementation of the adopted Baylands Stewardship Plan will assure the long-term vitality of wetland and riparian habitats, and sustainability of the agricultural economy in the region. Key habitats targeted by the program are tidal wetlands and riverine habitat corridors. Priority species include Steelhead Trout, Striped Bass, Salt Marsh Harvest Mouse, California Clapper Rail, and Migratory Birds. Implementation of the stewardship plan will restore and enhance various types of habitats by integration into the agricultural landscape.

Stressors that impact the habitats and species found in the Baylands region include the alteration of river and stream flows due to historic levee construction and channelization, as well as various agricultural practices that leave riverine banks devoid of vegetation. The hydrologic and physical isolation of flood plains (marsh plains) have also contributed to habitat fragmentation, and the loss of seasonal and tidal wetlands due to levee construction and various land use changes. This project proposes to alleviate stressors such as these by working with private, agricultural landowners, conserving agricultural lands, and planning and implementing restoration projects. Restoration activities might include: setting back levees to increase flood plains for the Napa, Sonoma, and Petaluma Rivers; thereby, providing opportunities for restoration of river bank habitats to a natural state as well.

e. Background and Ecological/Biological/Technical Justification

The San Pablo Baylands once were part of the San Francisco Bay-Delta Estuary. They were disconnected hydrologically through diking and draining, resulting in the destruction of most of the tidal wetlands of the region. However, most of these diked lands are eminently restorable, in many cases, simply by re-establishing tidal flows.

Today the Baylands are in the heart of the fastest-growing counties in the San Francisco Bay area. Urbanization pressure is threatening the agricultural economy and way of life in the region, as well as remaining wetland and riparian habitats. Through the work of the Baylands Partnership, landowners in the region have come together with the goal of protecting and restoring land, habitat, and water resources. The project presented in this proposal will facilitate implementation of the vision already articulated by the region's landowners. Specifically, it will encourage preservation of existing farmed wetlands throughout the region, and will undertake direct on-the-ground restoration with willing landowners.

f. Monitoring and Data Evaluation

A conceptual biological monitoring plan will be included in the final report to guide implementation of restoration projects. Success of the project will be judged by two criteria: (a) landowner participation in the program, and (b) easements and/or properties acquired.

g. Implementability

Estate planning involves a variety of mechanisms, such as conservation easements, trusts, sales and donations of land, etc. These strategies facilitate the preservation of important lands. This program will inform landowners about the breadth of options available to them in meeting their personal goals as well as to preserve and restore lands for future generations.

Together CCP and the Partnership have successful track records in grassroots organization, education, and implementation -- both locally and on a statewide basis. The Baylands project has strong support by the local community, agencies, other non-government groups, and landowners that will assure its implementation. Over the last two years, stakeholders have invested the time to identify and develop the watershed stewardship plan. The plan reflects a vested interest by the stakeholders to move forward with detailed planning and implementation, because it resolves land

and water resources issues that have long affected the Baylands area. On a regional scale, it will enhance the value of the Baylands within the larger San Francisco Bay-Delta Ecosystem.

Community Conservation Partners has already completed a focus group study of agricultural landowners and their adult children and five workshops throughout California on the subject of estate tax planning and conservation easements. Another series of estate workshops is planned for Fall 1998. CCP has received broad support for increasing its rural land conservation program from rural landowners, agricultural associations, public agencies, private foundations that fund land conservation, and other nonprofit organizations and land trusts. To date, funding for CCP and its intensive landowner outreach program totals \$411,000 from public and private sources including the Packard, Goldman, Hewlett and Irvine Foundations, the California Department of Forestry and Fire Protection, the U. S. Environmental Protection Agency, and the Great Valley Center (partial list).

V. Costs and Schedule to Implement Proposed Project

a. Budget Costs

The budget figures listed on the enclosed chart are broken down by Phase and Task. Indirect costs are charged to each task at 16%. CCP's fiscal agent has a 16% indirect cost allocation sheet currently on file with the U.S. Environmental Protection Agency.

b. Schedule Milestones

- Week 13: Complete the initial landowner estate planning and conservation easement outreach and workshop. Begin working directly with landowners who choose to employ conservation easement strategies and develop restoration plans.
- Week 26: Complete tours and preliminary site studies, including the ecological characteristics, preliminary restoration goals, and conservation value on these lands.
- Week 39: Complete detailed site studies and develop funding strategies for conservation and restoration work.
- Week 52: Complete the restoration plans. Submit application for funding conservation and restoration projects to appropriate public and private funding sources.

c. Third Party Impacts

Third Party Impacts: Landowners who elect to participate in the program could reap financial benefits by sale of Conservation Easements. Existing marginally productive agricultural lands would be converted to tidal wetlands. Small increases in the import of hay and grains would be needed to offset the loss of existing local supplies.

VI. Applicant Qualifications

Both Community Conservation Partners, the contract administrator and lead applicant for this project, and the San Pablo Baylands Partnership have demonstrated success in their community-based approach to land conservation and restoration of habitat and watershed.

CCP has extensive experience in conducting workshops on conservation easement and estate planning for rural landowners throughout California. In 1996, CCP conducted a focus group study of rural landowners. Findings indicated that estate taxes pose a significant threat to landowners who wish to preserve family lands and agricultural businesses through generations.

In response to this finding, the focus group study was followed in 1997 with a series of workshops held throughout California for rural landowners. The workshops and accompanying outreach materials described the factors that contribute to losses of productive agricultural lands and the strategies that can be employed by landowners to mitigate these losses.

Through these activities, CCP has assembled a knowledgeable team of experts that can advise landowners about these financial planning and land conservation options. CCP has also received very positive feedback from landowners and rural landowner organizations for additional information and assistance in facilitating and funding land conservation transactions.

Beginning with a focus group study of California rural landowners, CCP followed in 1997 with an intensive education program consisting of specialized workshops for rural landowners. CCP is facilitating acquisition of a conservation easement on 18,000 acres of rural lands in the southern Diablo Range, and plans to secure an additional 40,000 acres of easements in pristine areas of the watershed.

The San Pablo Baylands Partnership's Project Manager, Marc Holmes, and Technical Team Coordinator, Ginger Strong, have worked with landowners in the North Bay region since 1995 to develop the San Pablo Baylands Stewardship Plan. The Partnership has demonstrated a strong track-record of success by completing a regional Stewardship Plan, inaugurating a 400-acre restoration project, and developing an aggressive public education campaign. By dint of these efforts, the Partnership has established effective working relationships with the region's landowners. By itself, the 400-acre restoration project relied on securing support of landowners and government agencies, alike. Mr. Holmes and Ms. Strong are knowledgeable about the social and environmental character of the region, and have strong ties with key stakeholders.

Contract Administrator, Community Conservation Partners

Janet Cobb — M.A. — (Radio and Television), B.A. — Journalism (magna cum laude), San Francisco State University. Ms. Cobb is leading Community Conservation Partners in assembling unlikely partners from business, government, social justice and environmental groups to work on ecosystem restoration, environmental education, and community support. She serves as executive officer of the California Oak Foundation, a statewide membership group, which has worked under contract with the California Department of Forestry and the U.S. Forest Service on oak woodlands and urban forestry issues. She also serves as president of Yosemite Restoration Trust, and serves on a number of boards and advisory councils such as Save San Francisco Bay

Association, Institute for Ecological Health, Endangered Habitats League, and Cal Poly State University President's Council.

Program Manager, Partnership for the San Pablo Baylands

Marc Holmes — B.A. - Psychology, M.A. - Counseling Psychology, Specializing in Group Dynamics. Mr. Holmes is program manager for the San Pablo Baylands Partnership. Under his guidance, the Partnership, through a collaborative effort by landowners, has developed a plan and strategy for meeting the diverse needs of the Baylands region. Also, he coordinated the effort to add Cullinan Ranch to the San Pablo Bay National Wildlife Refuge, and mediated the implementation of a predator management plan to protect the endangered California Clapper Rail. Mr. Holmes was chairman of the San Francisco Estuary Project's ad hoc Wetlands Committee that drafted a compromise agreement for the Comprehensive Conservation and Management Plan (CCMP) Wetlands element.

Technical Team Coordinator, Camp Dresser & McKee

Virginia (Ginger) B. Strong — B.S. - Agricultural Science, Plant Protection, California State University, Fresno. Ms. Strong has over 13 years experience in integrated resource management and related program development. Her involvement includes managing programs with widely divergent interests, such as habitat protection, open space, and a variety of water issues, building consensus among these groups, preparing public education programs and identifying funding to develop the projects. Ms. Strong was project manager of the Kaweah River Delta Corridor Enhancement Plan in Visalia. The project initiated the first-ever funding for an integrated resource management study to eventually serve as a state program model. She also was the project director for the Colusa Basin Watershed Priority Assessment and the San Pablo Baylands Partnership.

Land Planner, Thomas Scharffenberger

Tom Scharffenberger, landscape architect, has more than 15 years experience in conservation land planning on both coasts. His expertise includes conservation planning, site-sensitive development planning, land management, land-use regulation and real estate finance. His company advises rural landowners on strategies for realizing both economic objectives and long-term protection of a land's resources. Mr. Scharffenberger authored the conservation easement section of the Preserving Family Lands brochure for rural landowners and was the estate planning co-presenter at the CCP estate planning workshops last year. He is currently working with CCP, COF, and rural landowners on an 18,000-acre conservation easement and a rural land donation. He has been a visiting critic in planning studios at the University of California at Berkeley and Harvard Graduate School of Design.

Financial Planner, Lawrence I. Grossman

Larry Grossman is a certified financial planner with Grossman Financial Management. He has conducted various financial planning seminars including "Estate and Charitable Gift Planning", "Retirement and Charitable Gift Planning," and "Estate and Business Succession Planning." Most recently he was the estate planning co-presenter with Tom Scharffenberger on five estate planning workshops conducted for rural landowners. Mr. Grossman was the lead author of the "Preserving Family Lands" brochure for rural landowners. He is also author of "The Magic of the Charitable Remainder Trust," and "How the Wealthy Keep the Family Fortune Intact." He received his

Masters in Business Administration degree in Finance from The Wharton School, University of Pennsylvania. He completed his Certified Financial Planner designation from the College for Financial Planning. He is an instructor in the Financial Planning Program at U.C. Davis and in the M.B.A. Program at Golden Gate University, S.F.

VII. Compliance with standard terms and conditions.

Community Conservation Partners has reviewed the Standard Terms and Conditions and is prepared to meet them.

COMMUNITY CONSERVATION PARTNERS
 San Pablo Baylands Watershed Conservation and Stewardship Implementation Plan

Proposal 7/1/98

Project Phase and Task		Direct Labor Hours	Direct Salary and Benefits	Overhead Labor (General, Adm. and Fee)	Material and Acquisition Contracts	Misc. and other Direct Costs	Total Cost
PHASE I	Task 1 - <i>Workshop Planning</i>	30	\$1,200	\$800		\$3,000	\$5,000
	Task 2 - <i>Outreach</i>	95	\$3,300	\$4,000	\$7,500	\$10,200	\$25,000
	Task 3 - <i>Workshop</i>	60	\$2,400	\$1,600		\$6,000	\$10,000
	Task 4 - <i>Personal Consultations</i>	210	\$9,000	\$9,600		\$41,400	\$60,000
PHASE II	Task 5 - <i>Environmental Assessment</i>			\$2,640		\$13,860	\$16,500
	Task 6 - <i>Regulatory Assistance</i>			\$720		\$3,780	\$4,500
	Task 7 - <i>Restoration Design</i>			\$4,640		\$24,360	\$29,000

I-011029

I-011029

U.S. Department of the Interior

**Certifications Regarding Debarment, Suspension and
Other Responsibility Matters, Drug-Free Workplace
Requirements and Lobbying**

Persons signing this form should refer to the regulations referenced below for complete instructions:

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions - The prospective primary participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. See below for language to be used or use this form for certification and sign. (See Appendix A of Subpart D of 43 CFR Part 12.)

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions - (See Appendix B of Subpart D of 43 CFR Part 12.)

Certification Regarding Drug-Free Workplace Requirements - Alternate I. (Grantees Other Than Individuals) and Alternate II. (Grantees Who are Individuals) - (See Appendix C of Subpart D of 43 CFR Part 12)

Signature on this form provides for compliance with certification requirements under 43 CFR Parts 12 and 18. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of the Interior determines to award the covered transaction, grant, cooperative agreement or loan.

PART A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions

CHECK IF THIS CERTIFICATION IS FOR A PRIMARY COVERED TRANSACTION AND IS APPLICABLE.

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
 - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

PART B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions

CHECK IF THIS CERTIFICATION IS FOR A LOWER TIER COVERED TRANSACTION AND IS APPLICABLE.

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

DI-2010
June 1996
(This form replaces DI-1967, DI-1964,
DI-1858, DI-1958 and DI-1907)

PART C: Certification Regarding Drug-Free Workplace Requirements

CHECK IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS NOT AN INDIVIDUAL

Alternate I. (Grantees Other Than Individuals)

A. The grantee certifies that it will or continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about—
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will —
 - (1) Abide by the terms of the statement; and
 - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification numbers(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted —
 - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a) (b), (c), (d), (e) and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

1212 BROADWAY, SUITE 808, OAKLAND CA 94612

Check if there are workplaces on file that are not identified here.

PART D: Certification Regarding Drug-Free Workplace Requirements

CHECK IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS AN INDIVIDUAL.

Alternate II. (Grantees Who Are Individuals)

- (a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to the grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

04-10110
June 1996
This form replaces OI-1053, OI-1054,
OI-1055, OI-1056 and OI-1057.

Figure 1
Standard Form 424

OMB Approval No. 0346-0043

APPLICATION FOR
FEDERAL ASSISTANCE

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction <input type="checkbox"/> Non-Construction		2. DATE SUBMITTED July 1, 1998	Applicant Identifier N/A
3. DATE RECEIVED BY STATE N/A		State Application Identifier N/A	
4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier	
5. APPLICANT INFORMATION			
Legal Name: CALIFORNIA OAK FOUNDATION		Organizational Unit: COMMUNITY CONSERVATION PARTNERS	
Address (give city, county, state, and zip code): 1212 BROADWAY, SUITE 808 OAKLAND CA 94612		Name and telephone number of person to be contacted on matters involving this application (give area code): JANET COBB (510) 763-0211	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 68-0162394		7. TYPE OF APPLICANT: (enter appropriate letter in box) [N]	
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) <input type="checkbox"/> <input type="checkbox"/> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other (specify):		A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) <u>Non-profit Organization 501(c)(3)</u>	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE: N/A		9. NAME OF FEDERAL AGENCY:	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): NAPA, SONOMA, MARIN COUNTIES		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: SAN PABLO BAYLANDS WATERSHED CONSERVATION AND STEWARDSHIP IMPLEMENTATION PLAN	
13. PROPOSED PROJECT		14. CONGRESSIONAL DISTRICTS OF:	
Start Date	Ending Date	a. Applicant	b. Project
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal	\$.00	a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____	
b. Applicant	\$.00	b. NO <input type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
c. State	\$.00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes <input type="checkbox"/> No	
d. Local	\$.00		
e. Other	\$.00		
f. Program Income	\$.00		
g. TOTAL	\$.00		
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.			
a. Type Name of Authorized Representative JANET S. COBB		b. Title EXECUTIVE OFFICER	c. Telephone Number (510) 763-0211
d. Signature of Authorized Representative		e. Date Signed	

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Standard Form 424 (REV. 4-81)
Prescribed by OMB Circular A-102

PART E: Certification Regarding Lobbying
Certification for Contracts, Grants, Loans, and Cooperative Agreements

CHECK IF CERTIFICATION IS FOR THE AWARD OF ANY OF THE FOLLOWING AND THE AMOUNT EXCEEDS \$100,000: A FEDERAL GRANT OR COOPERATIVE AGREEMENT; SUBCONTRACT, OR SUBGRANT UNDER THE GRANT OR COOPERATIVE AGREEMENT.

CHECK IF CERTIFICATION IS FOR THE AWARD OF A FEDERAL LOAN EXCEEDING THE AMOUNT OF \$150,000, OR A SUBGRANT OR SUBCONTRACT EXCEEDING \$100,000, UNDER THE LOAN.

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

As the authorized certifying official, I hereby certify that the above specified certifications are true.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

Janet D. Cobb

TYPED NAME AND TITLE

JANET COBB, EXECUTIVE OFFICER

DATE

7/1/98

DE-2010
June 1998
(This form replaces DE-1353, DE-1954,
DE-1256, DE-1258 and DE-1263.)