

CALFED Tribal Participation and Outreach Grant FY 2000 Request for Proposals

BACKGROUND ON THE CALFED BAY-DELTA PROGRAM

The CALFED Bay-Delta Program is a consortium of State and Federal agencies with management and regulatory responsibilities in the San Francisco Bay/Sacramento-San Joaquin Delta Estuary. It was initiated in 1995 by Governor Pete Wilson and the Clinton administration to address environmental and water management problems associated with the Bay-Delta system, an intricate web of waterways created at the junction of the San Francisco Bay and the Sacramento and San Joaquin rivers and the watershed that feeds them.

The CALFED Bay-Delta Program's mission is to develop a long-term comprehensive plan that will restore ecological health and improve water management for beneficial uses of the Bay-Delta system. The Program has four key objectives:

Ecosystem Quality. Improve and increase aquatic and terrestrial habitats and improve ecological functions in the Bay-Delta to support sustainable populations of diverse and valuable plant and animal species.

Water Supply. Reduce the mismatch between Bay-Delta water supplies and current and projected beneficial uses dependent on the Bay-Delta system.

Water Quality. Provide good water quality for all beneficial uses.

Levee System Integrity. Reduce the risk to land use and associated economic activities, water supply, infrastructure, and the ecosystem from catastrophic failure of Delta levees.

The CALFED Bay-Delta Program released a Draft Programmatic Environmental Impact Statement/Environmental Impact Report (EIS/EIR) in June 1999. This document may be obtained by contacting the CALFED Bay-Delta Program at (916) 657-2666, or by visiting the CALFED website at: <http://calfed.ca.gov>. All alternatives described in the EIS/EIR contain common programs to address ecosystem health, levee system integrity, water use efficiency, water transfers, water quality and watershed management. CALFED currently expects to release the final EIS/EIR for public review in April 2000.

BACKGROUND AND PURPOSE

As noted above, the CALFED Bay-Delta program is a broad, cooperative effort that relies heavily on the input and participation of government and stakeholder representatives. Over the past several years, tribal governments have been engaged in the CALFED process to varying degrees. To meet its government-to-government responsibility to tribes, CALFED has increased its efforts in the last year to coordinate with California tribes. Most notably, a series of meetings, discussions, and initial consultations have occurred between tribal and CALFED representatives.

Most recently, at their November meeting, the CALFED Policy Group, which represents the state and federal agencies with management and regulatory responsibility in the Bay-Delta Estuary, formally approved \$100,000 in Fiscal Year 2000 funds for tribal participation in the CALFED process. To help determine the best method for distributing the funds, an informal workgroup of federal agency and tribal representatives was established, to which all California tribes were invited to participate. Based on discussions with participating tribes, CALFED identified several objectives related to increased tribal participation in the CALFED process to be accomplished through the use of these funds. Specifically, the purpose of this announcement is to solicit and fund tribal governments to:

- develop and compile information on, or an inventory of, the specific tribal resources that may be affected by potential CALFED actions;
- facilitate increased tribal attendance and participation in CALFED meetings and workgroups (e.g., travel);
- facilitate enhanced outreach and coordination between CALFED agencies and participating tribes;
- ensure that participating tribes play a key role in educating other affected tribes about the CALFED process and coordinating tribal input back to the CALFED program.

ELIGIBILITY

All Federally recognized California Indian tribes are eligible for this funding. Applicants who wish to collaborate on a project may elect to use a contractor-subcontractor relationship or a joint venture partnership. For example, a tribe may apply for this grant and contract or develop a partnership with other entities (e.g., other tribes, a tribally-affiliated organization, a community relations-type organization, legal organization, etc.) to conduct the funded activities. Each grant will be executed with only one applicant. The proposal needs to clearly indicate which applicant will sign the contract and the nature of the agreement between the other applicants, as discussed below.

FUNDING

A total of \$100,000 has been allocated. Due to the limited funding, it is expected that between one and three tribes will be funded to achieve the objectives outlined above. However, based on the number and content of proposals received more tribes may be awarded funds. Those tribal governments awarded these funds will serve in the capacity of a regional coordinator for tribal government involvement in the CALFED program as described below. The combination of grants awarded will serve all California tribes.

Funding will be provided to cover outreach efforts for a one year period. It is uncertain at this time if more funding will be available in Federal Fiscal Year 2001.

PROPOSAL REQUIREMENTS

To be eligible for funding, all proposals must:

- 1) Provide a narrative discussion that includes the following:
 - a. Brief summary of the tribe's current organizational structure identifying under which department the grant will be administered.
 - b. Description of how the four coordination tasks and objectives, described in the background section above, will be met.
 - c. Identification of those tribes (i.e., geographic region) that will be served under this grant.
 - d. Description of any partnerships (e.g., with other tribes) that will be created under this grant, including letters of support from other potential partners. It is recommended that the applicant provide the proposal to all served tribes for their approval in writing. Any tribal letters of support should be attached to this proposal.
- 2) Include a work plan that describes the activities that will be conducted to meet the project's goals. The work plan should not extend past a one year period and should indicate the deliverables associated with each identified task (e.g., meeting agendas and minutes, regional workshop, report or inventory of tribal resources affected by potential CALFED projects), time frames of each task, identification of personnel work hours associated with each task, and an itemized budget for the work plan period. A sample workplan format and budget format is attached with this solicitation (Attachment A).
- 3) Clearly describe and justify budgeted costs (including direct and indirect costs).

The level of funding requested for the proposed activity must be reasonable given the total allocation, and the applicant's resources (labor, equipment, class of staff used, etc.) should be used to maximize cost effectiveness.

- 4) Provide a tribal resolution or a tribal government endorsement of the proposal (i.e., the Tribal leader's signature on the letter transmitting the proposal).

EVALUATION CRITERIA

Proposals that meet the minimum requirements as outlined in this announcement will be evaluated based on how well they articulate the following:

- 1) A plan for developing an end-product. For example, this could potentially include the development and compilation of information on the specific tribal resources that may be affected by potential CALFED actions. This would likely include obtaining information from the affected tribes and working with CALFED agencies. Such an effort would be extremely useful to both tribes and CALFED. Clear identification of an "end-product" and its practical utility should be a critical component of any application.
- 2) A workable and well-defined process to increase tribal attendance and participation in the CALFED program (e.g., meetings, workgroups). Due to the limited funding it is impossible to fund every tribe to attend the meetings. At the very minimum, the proposal should indicate coordination mechanisms to: 1) inform tribes of such meetings; 2) communicate the minutes and relevant issues from such meetings to tribes that are not able to attend; 3) identify opportunities for future tribal participation; 4) present concerns of tribes during such meetings; and 5) ensure that a representative from the funded tribe or an alternate will attend the meetings. Some examples of tasks for ensuring that other affected tribes are informed and educated about the CALFED process include: 1) making phone calls to the affected tribes; 2) holding quarterly meetings with the tribes; 3) distributing newsletters to each tribe; and 4) mailing letters to affected tribes.
- 3) Innovative and useful tasks that ensure outreach coordination between CALFED partners and funded tribes. This includes utilizing the existing expertise and knowledge of CALFED member agencies. For instance, the funded tribe may wish to coordinate workshops with CALFED partners to discuss the proposed CALFED projects that are likely to occur in the geographic area of concern.
- 4) A demonstration of tribal support from those tribes to be served by this grant, as confirmed by letters of support.

SUBMISSION

Applicants are requested to submit proposals following the instructions and format contained in this announcement. Proposals must be postmarked no later than **March 31, 2000** and mailed to:

U.S. EPA, Region 9
75 Hawthorne St (CMD-3)
San Francisco, CA 94105
Attn: Lisa Penaska

Proposals will be evaluated using the criteria and process described in this announcement, leading to awards in April 2000.

Attachment A

E - 0 3 8 8 0 5

**Workplan Layout
Table Format**

Workplan Tasks	Deliverables/ Outputs	Target Dates	Estimated Cost/ Workyears
Task #1 (state the overall goal of the task)			
Sub-Task 1.1 (Specific description of one of the necessary tasks to complete Task #1)	Deliverables which will be provided to EPA upon completion of Task 1.1 (ie., draft reports, minutes of meetings, etc.)	Expected completion date of Task 1.1	The cost to complete Task 1.1. Some things to consider are number of personnel working on task, estimated hours, contractual costs, and supplies and equipment needed to fulfill task.
Sub-Task 1.2 ...			
Task # 2 (state the overall goal of the task)			
Sub-task 2.1...			

Workplan Layout Narrative Format

Task #1 (state the overall goal of the task)

1.1 Sub-Task (Specific description of one of the necessary tasks to complete Task #1)

Deliverables to EPA - list the product that will be submitted to EPA (ie., draft and final environmental plan)

Target Date- propose completion date for activity or product

Estimated Cost or Workyears- (note dollar amount and, if applicable, the workyears or hours it will take to complete the task).

1.2 Sub-task

Task #2 (state the overall goal of the task)

SAMPLE BUDGET BREAKDOWN

YEAR ONE

PERSONNEL

FRINGE BENEFITS

TRAVEL

EQUIPMENT

SUPPLIES

TRAINING

CONTRACTUAL

OTHER

TOTAL DIRECT COSTS

TOTAL INDIRECT COST

**TOTAL PROJECT/
PROGRAM COST**

Budget justification must also be provided.