



Memorandum

Date: November 1, 1999

To: Ecosystem Roundtable Member

From: Wendy Halverson Martin
CALFED Restoration Coordinator

Subject: Conflict of Interest Policy for Individuals and Organizations Represented on the Ecosystem Roundtable

At the May 18, 1999 Ecosystem Roundtable meeting, a conflict of interest policy for Ecosystem Roundtable members relative to the participation in ecosystem restoration proposals and potential receipt of funds was considered. The members were unable to reach consensus on this issue and the discussion was tabled. This topic was also on the agenda but not discussed at the September 14, 1999 Ecosystem Roundtable meeting.

During the development of the Ecosystem Roundtable, policy discussions were held regarding both legal and perceived conflict of interest for individuals and organizations represented on the Ecosystem Roundtable. The minutes for the May 9, 1997 meeting of the Ecosystem Roundtable indicate that the Roundtable members reached a general agreement "that member organizations not apply for Category III funds in part due to the perceived conflict of interest." (See minutes of May 7, 1997 Ecosystem Roundtable meeting, page 7, attached hereto). The intent of the agreement appears to be to protect against perceptions of "insider baseball" in the PSP process. In other words, Ecosystem Roundtable members appear to have been sensitive to the perception that Roundtable-represented organizations would be making decisions about funding proposals that they have submitted. This policy was never finalized.

Attached is a draft policy on conflict of interest prepared for the Ecosystem Roundtable. This policy basically precludes any Ecosystem Roundtable member and their affiliate organization from receiving funds for any ecosystem restoration activity which is subject to consideration and recommendation by the Ecosystem Roundtable. It also proposes that proposals submitted in violation of this policy will be returned without consideration. It does allow non-financial participation in projects and proposals. **Please review the attached policy and be prepared to reach consensus on this or a modified policy at the November 9, 1999 Ecosystem Roundtable meeting.**

Attachments

CALFED Agencies

California The Resources Agency
Department of Fish and Game
Department of Water Resources
California Environmental Protection Agency
State Water Resources Control Board
Department of Food and Agriculture

Federal Environmental Protection Agency
Department of the Interior
Fish and Wildlife Service
Bureau of Reclamation
U.S. Geological Survey
Bureau of Land Management
U.S. Army Corps of Engineers

Department of Agriculture
Natural Resources Conservation Service
U.S. Forest Service
Department of Commerce
National Marine Fisheries Service
Western Area Power Administration



Ecosystem Roundtable Conflict of Interest Policy

Draft
10/26/99

Members of the Ecosystem Roundtable and their affiliate organizations may not apply for or receive funds from the CALFED Bay-Delta Program for any ecosystem restoration activity which is subject to consideration and recommendation by the Ecosystem Roundtable. Members of the Ecosystem Roundtable and their affiliate organizations may not receive funds as a subcontractor from any proposal or project submitted by any individual or organization for any ecosystem restoration activity which is subject to consideration and recommendation by the Ecosystem Roundtable.

Members of the Ecosystem Roundtable and their affiliate organizations may participate, collaborate, endorse, share information or participate in any other way in projects or proposals funded by the CALFED Bay-Delta Program for any ecosystem restoration activity which is subject to consideration and recommendation by the Ecosystem Roundtable so long as there is no direct financial gain to the member or the affiliate organization.

Any proposal for ecosystem restoration activities submitted to the CALFED Bay-Delta program in violation of this policy will be returned without consideration.